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# Backflow reduction in local injection therapy with gelatin formulations

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#### ARSTRACT

The local injection of therapeutic drugs, including cells, oncolvtic viruses and nucleic acids, into different organs is an administrative route used to achieve high drug exposure at the site of action. However, after local injection, material backflow and side effect reactions can occur. Hence, this study was carried out to investigate the effect of gelatin on backflow reduction in local injection. Gelatin particles (GPs) and hydrolyzed gelatin (HG) were injected into tissue models, including versatile training tissue (VTT), versatile training tissue tumor-in type (VTT-T), and broiler chicken muscles (BCM), using needle gauges between 23G and 33G. The backflow material fluid was collected with filter paper, and the backflow fluid rate was determined. The backflow rate was significantly reduced with  $35 \,\mu m$  GPs (p value < .0001) at different concentrations up to 5% and with 75  $\mu$ m GPs (p value < .01) up to 2% in the tissue models. The reduction in backflow with HG of different molecular weights showed that lower-molecular-weight HG required a higher-concentration dose (5% to 30%) and that higher-molecular-weight HG required a lower-concentration dose (7% to 8%). The backflow rate was significantly reduced with the gelatin-based formulation, in regard to the injection volumes, which varied from 10 µL to 100 µL with VTT or VTT-T and from 10 µL to 200 µL with BCM. The 35 µm GPs were injectable with needles of small gauges, which included 33G, and the 75 µm GPs and HG were injectable with 27G needles. The backflow rate was dependent on an optimal viscosity of the gelatin solutions. An optimal concentration of GPs or HG can prevent material backflow in local injection, and further studies with active drugs are necessary to investigate the applicability in tumor and organ injections.

# Introduction

In the healthcare field, there is a growing need to improve the required drug efficacy and potency in drug administration. There are several drug delivery methods, including swallowing, inhalation, absorption, and injection. Drug administration by injection, such as parenteral administration, including intratumoral injection, and intramuscular injection, might be followed by what is commonly referred to as fluid leakage, reflux, or backflow (leak-back) at the local site after needle removal from the injection site. However, the results of some studies suggested that the amount of resulting backflow is not of clinical significance, but in these studies, backflow was found to have resulted from subcutaneous insulin administration (Hanas et al., 2000; Birkebaek et al., 2008). Thus, backflow detected at the skin surface has less or no adverse effect compared to that at other injection sites, e.g., the kidney, heart and eyes, such as in cases of cell transplantation, including the transplantation of induced pluripotent stem cells or bioactive substances (e.g., antibiotics, antibodies, and anti-inflammatory drugs) for the treatment of some medical conditions (e.g., chronic kidney disease, heart failure and age-related macular degeneration), wherein the backflow of the injectate would not only result in the loss of the optimal dose of the bioactive substance but also in side effects and complications by spreading to the surrounding area of the injection site. Moreover, there has been a rapid increase in the use of injection procedures and a significant increase in intravitreal and tumor injections (Gupta et al., 2010; Day et al., 2011; Tamura et al., 2015; Chaturvedi et al., 2019). A specific risk of various treatments requiring injection, such as oncolytic virotherapy or intravitreal therapy, is material backflow, and in principle, local reactions could occur (Præstmark et al., 2016). In fact, a degree of backflow in all cases in an experimental trial on cell delivery to the subretinal space has been reported (Wilson et al., 2017). Additionally, backflow of drugs is an apprehension of a major point of contention for the use of intratumoral chemotherapy (Hohenforst-Schmidt et al., 2013). For instance, ethanol ablation, which consists of the direct injection of pure ethanol into tissue to induce cell death by protein denaturation and cytoplasmic dehydration, was initially used for inoperable cancer (Shiina et al., 1991; Ryu et al., 1997). Backflow during ethanol ablation for the treatment of hepatocellular carcinomas has been reported, and some side effects include vascular and bile duct injuries, coagulation necrosis around the target region of interest, incomplete tumor coverage, and perforation of the vein of

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Marshall with serious complications, including atrial tachyarrhythmias (fast heartbeat following cardiac surgery) (Seki et al., 1989; Shiina et al., 1991; Koda et al., 1992; Kamakura et al., 2021). Injection studies have applied a saline-based delivery vehicle; however, biomaterial-assisted deliveries have been developed as cell carriers for optimal delivery, and injectable biomaterials purposely used to reduce backflow following injections have been reported in previous studies. Ethanol purposely incorporated in ethyl cellulose to optimize ablative therapy resulted in gel formation that served to contain the mixture near the injection site in a hamster cheek pouch model (Morhard et al., 2017). Additionally, a self-sealing coated 30-gauge injection of hyaluronic acid showed a reduction in the backflow of the intravitreal injected drug in a New Zealand rabbit model (Eom et al., 2021); however, the reduction in backflow after injection using other biomaterials is poorly understood. Chitosan, alginate, hyaluronic acid, cellulose, polyethylene glycol, collagen and gelatin are ubiguitous biomaterials. However, gelatin, a denatured form of collagen, is often used for medical purposes, including regenerative therapy and drug delivery, because of its cell adhesiveness, bioabsorbability, biocompatibility, biodegradability, safety, easy clearance from the body, and low cost (Nii, 2021). Medical gelatin, including nonparticle gelatin and gelatin particles (especially microparticles), has been probed for both in vitro cell culture and in vivo therapeutic studies. For instance, previous studies showed an improvement in the survival rate of rat or human cardiac stacked cell sheets exposed to iPS (induced pluripotent stem cells) incorporated in gelatin microparticles (Matsuo et al., 2015; Li et al., 2018), and the injection of gelatin microparticles containing PRP (platelet-rich plasma) or basic fibroblast growth factor or both promoted capillary formation as well as microvascular networks or differentiated stem cells toward muscle lineages (Kakudo et al., 2017; Mitsui et al., 2021). Gelatin has also been demonstrated as a drug carrier in sustained-release drug delivery systems (Young et al., 2005; Nahar et al., 2008; Kimura and Tabata, 2010; Foox and Zilberman, 2015). The pharmacological effect of the drug is also improved when it is encapsulated in GPs (Shokry et al., 2018). Moreover, modified gelatin can be used gelatin can be used as an ideal carrier for controlled release drugs (Foox and Zilberman, 2015). However, there is a paucity of data on the potential of gelatin to reduce backflow in local injections, including intramuscular or intratumoral injections, of active drugs. Moreover, while optimal methods for drug delivery, such as local administration, remain to be determined, a lack of a clinical response, for example, in clinical trials of intratumoral immunotherapy, may be a reflection of delivery failure rather than drug ineffectiveness (Sheth et al., 2020; Muñoz et al., 2021). This study aimed to evaluate the effect of gelatin solution and microparticles on the backflow of fluid following local administration in tissue models.

# Methods

# Gelatin solution and particle preparation

The gelatin samples used were of either porcine or bovine origin. The use of biomaterials originating from cows is risky

due to the prion disease bovine spongiform encephalopathy (BSE) (Will et al., 1996). All bovine materials used for gelatin production are not from countries at risk of BSE or specified risk materials, and a process for prion inactivation is included in the gelatin manufacturing process. Furthermore, the OIE (International Organization for Animal Health founded as OIE has approved the safety of gelatin because of the acidic and/ or alkaline treatment in the manufacturing process to obtain gelatin from the skin and bone of cows (Grobben et al., 2004). The gelatin samples were pigskin and bovine bone gelatin produced by acid or alkaline extraction methods. Specifically, the samples were beMatrix<sup>™</sup> gelatin series or MedGel II<sup>™</sup> from Nitta Gelatin, Inc. (Osaka, Japan), for which the detailed characteristics were reported in previous studies (Ikada and Tabata, 1998; Kadji et al., 2022). The beMatrix™ gelatin was dissolved in PBS to prepare 1 to 40% (w/v) hydrolyzed gelatin (HG), and the pH was adjusted to  $7.4\pm0.1$  with NaOH. Moreover, the beMatrix<sup>™</sup> gelatin was thermally dehydrated and crosslinked at 150°C for 24 hours under vacuum. The gelatin particles (GPs) were dispersed in isopropanol to a concentration of approximately 2.5 mg/mL. The particle size distribution was measured using a laser diffraction/scattering particle size analyzer (Microtrac T3200II, Microtrac Bell, Inc.), and the cumulative 50% diameter (D 50) was calculated as the average particle diameter. GPs were suspended in PBS to prepare 1% to 5% (w/v) GP solutions.

# Evaluation of particle dispersion stability and viscosity

Five percent (w/v) GPs were made. Immediately after stirring at 300 rpm for 1 minute, 3 mL of the solution was placed into a plastic cell, and the absorbance (wavelength: 600 nm) immediately after the injection was measured to obtain 'absorbance 1'. 'Absorbance 2' was obtained by measuring the absorbance (wavelength: 600 nm) after standing for 1 minute immediately after the above measurement. The retention rate (%) was obtained with the formula  $100 \times$  (absorbance 2)/ (absorbance 1). The viscosity of the solution was measured with an MCR 302 rheometer manufactured by Anton Paar, Japan (cone plate R25, 1°, shear rate 200 s - 1) at 25 °C. After confirming that the value was stable, the value one minute after the start of the measurement was adopted.

# Injection experiments

A 1 mL syringe and 23 G (inner diameter:  $350 \mu$ m), 25 G (inner diameter:  $250 \mu$ m), 27 G (inner diameter:  $220 \mu$ m) needles manufactured by Terumo Corporation, a 30 G (inner diameter:  $200 \mu$ m) needle manufactured by Nipro Corporation, or a 33 G (inner diameter:  $160 \mu$ m) needle manufactured by Nippon Genetics Co., Ltd., were used to inject between  $10 \mu$ L and  $100 \mu$ L/ $200 \mu$ L (triplicate) solutions composed of either PBS solution, GPs, and HG into tissues incubated at  $37 \,^{\circ}$ C, which included excised broiler chicken muscle (BCMs,  $200 \mu$ L) aged between 50-55 days and obtained from a local supermarket. Chicken muscle is used to practice the hands-on method of intramuscular injection on various livestock (Walker and Thelen, 2016). Other tissue models were versatile

training tissue (VTT, 100  $\mu$ L) and VTT tumor-in type (VTT-T, 100  $\mu$ L), which are simulated organs for medical training whose touch, strength and elasticity are similar to those of human tissue and were manufactured by KOTOBUKI, Medical, Inc., Japan. Then, the injection needle was pulled out, and the puncture site was immediately covered with filter paper (Hanas et al., 2000; Juul et al., 2012; Præstmark et al., 2016) cut into 1 cm squares to absorb the backflow liquid within 10 seconds to measure the backflow liquid weight. The backflow rate (%) was calculated based on 100 mg of injected solution (Figure 1).

# **Statistical analysis**

The graphical representations and statistical analysis were performed using Prism software 8.0 (GraphPad, San Diego, CA, USA). All data are presented as the mean values with standard deviations. Unpaired t tests with Welch's correction were used for comparisons between groups, and if they passed the normality test, data were subjected to one-way ANOVA with Dunnett's multiple comparison test to compare differences in means among more than two groups. A significance level of 5% was used throughout the study.

#### Results

The relevant characteristics of the gelatin particles (GPs) and hydrolyzed gelatin (HG) were determined and are summarized in Table 1. The injection of GP<sub>35</sub> and GP<sub>75</sub> microparticles either in the VTT, or VTT-T or BCM tissue models resulted in a significant reduction in backflow in a concentration-dependent manner and exhibited 5% for the lowest backflow with 35 µm GP (*p* value < .0001) or 75 µm GP (*p* value < .01) in each tissue model (Figures 2A). Additionally, the injection with 1% or 2% of 75 µm GP microparticles resulted in significant backflow reduction in the VTT model (*p* value < .01), VTT-T model (p value < .01), or BCM (p value < .001) (Figures 2B). The injection of HG solution at dose-dependent concentrations indicated that there was an optimal concentration of 20-30% for a significant backflow reduction in the VTT (p value < .01) and 30% in the VTT-T (p value < .05) and 20–30% in the BCM (pvalue < .001) tissue models (Figure 3). We also performed studies to determine the relationship between molecular weight and backflow. The lower-molecular-weight HG appeared to require a higher concentration for optimal backflow reduction compared to the higher-molecular-weight HG, and optimal concentrations of 30%, 20%, and 8% were found for the lower-, medium- and higher-molecular-weight HG, respectively (Figures 4A-C). To evaluate the effect of needle size on backflow, the injection of gelatin microparticles or HG at various concentrations was carried out with various needle gauges. The injection of GP<sub>35</sub> microparticles significantly reduced the backflow volume with all needle gauges, including the 25G (VTT, p value < .0001; VTT-T, p value < .0001; BCM, p value < ...), 30G (VTT, p value < .0001; VTT-T, p value < .0001; BCM, p value < ...), and 33G (VTT, p value < .05; VTT-T, p value < .01; BCM, p value < ...) (Figure 5A–C). The  $GP_{75}$  microparticles and HG could not pass through smaller needle sizes, including the 30G and 33G needles; therefore, larger needle sizes were used for the evaluation of backflow reduction in BCM, which also resulted in significant backflow reduction with the 23G  $(GP_{75}, p \text{ value } < .05; HG, P \text{ value } < .01), 25G (GP_{75}, p \text{ value})$ < .01; HG, p value < .001) and 27G (GP<sub>75</sub>, p value < .05; HG,

 Table 1.
 Sample characteristics.

Sample characteristics			
Sample	Source	Characteristics	
GP <sub>35</sub> (Gelatin particles)	Bovine bone	D50: 35µm, Retention rate: 97.3 %	
GP <sub>75</sub> (Gelatin particles)	Bovine bone	D50: 75µm, Retention rate: 41.3 %	
HG 1 (Hydrolyzed gelatin 1)	Porcine skin	Molecular weight: 650	
HG 2 (Hydrolyzed gelatin 2)	Porcine skin	Molecular weight: 4000	
HG 3 (Hydrolyzed gelatin 3)	Porcine skin	Molecular weight: 20000	

(D50): cumulative 50% diameter

Photo A 1-0 Photo A 1-1 Photo A 1-2 Photo A 1-3



Photo B 1-1

Photo B 1-2

Photo B 1-3



Figure 1. A–B. Injection procedure with VTT-T mock tissue (A) and BCM tissue (B) and dye liquid (PBS colored with bromophenol blue). The backflow was collected with filter paper immediately after needle removal. 1–0 Overall view of the BCM model.1–1 Injection in the BCM model.1–2 Backflow following needle removal in the BCM model.1–3 collection of backflow with filter paper in the BCM model. **1B.** 1–0 Overall view of the VTT and VTT-T models. 1–1 Injection in the VTT and VTT-T models. **1-2** Backflow following needle removal in the VTT and VTT-T models. **B1-3** Collection of backflow with filter paper in the VTT and VTT-T models.



Figure 2. A–B. Effect of  $GP_{35}$  (A) and  $GP_{75}$  (B) microparticle concentration dependence on backflow reduction in the VTT model, VTT-T model and BCM. \*\*: p value  $\leq 0.01$ , \*\*\*: p value  $\leq .001$ , \*\*\*\*: p value  $\leq .0001$ . The experiment was performed with 27 G needles.



**Figure 3.** Effect of HG on backflow reduction in the VTT model, VTT-T and BCM model. \*: p value  $\leq$  .05. \*\*: p value  $\leq$  .01, \*\*\*: p value  $\leq$  .001. The experiment was performed with 27 G needles.

*p* value < .0001) needles (Figure 5D). The effect of injection volume on backflow occurrence was also evaluated in all three tissue models. The results showed negligible backflow with low injection volumes, including 10 µL and 20 µL, regardless of the tissue model. However, a significant reduction was found for the injection volume effect in VTT ( $50 \mu$ L, *p* value < .01; 100 µL, *p* value < .001), VTT-T ( $50 \mu$ L, *p* value < .001; 100 µL, (GP) *p* value < .005; 100 µL, (HG) P value not statistically significant) and BCM ( $50 \mu$ L, *p* value < .001; 100 µL, *p* value < .001) (Figure 6(A–C). An analysis of a series of HG solutions (HG 1, HG 2, and HG 3) with different molecular weights and different viscosities with respect to the backflow reduction with lower molecular weights (Figure 7).

# Discussion

This study was carried out to evaluate the effect of gelatin on backflow reduction following injection in organ models. Using different tissue models, the results demonstrated that gelatin molecules, including gelatin particles (GPs) and hydrolyzed gelatin (HG), exhibit the potential to reduce injection backflow. Gelatin is used for various applications in the medical field. For instance, there are risks of bone cement leakage in vertebroplasty (Cotten et al., 1996; Schmidt et al., 2005; Liu et al., 2013; Premat et al., 2017; Li et al., 2020), and gelatin is used to prevent this leakage (Xu et al., 2020). Some reports showed that a mixture of gelatin microparticles with other therapeutic agents demonstrated a cement leakage reduction in vertebral augmentation (Bhatia et al., 2006; Meng

et al., 2013). In other studies, an intratumoral injection of a rapid drug-releasing type of gelatin in an animal model demonstrated a long-term retention of high drug concentrations with a reduction in backflow, which was not a direct result of gelatin action but rather a result of the dense tumor extracellular matrix (ECM) and angiogenic blood vessels (Park et al., 2022). In this study, the reduction in injection backflow was influenced by some factors, including the GP and HG concentrations and needle size. The lower-molecular-weight gelatin appeared to require a higher optimal concentration for a significant backflow reduction rate compared to the higher-molecular-weight gelatin, implying that a faster blockage of the hole occurred at the injection site. The mechanism of action remains to be elucidated. Moreover, a likely explanation may be related to the gelatin gel phase and/or viscosity. Unexpectedly, an analysis of the effect of viscosity on the backflow reduction with respect to the dose-dependent concentration showed that low viscosity was an optimal factor for backflow reduction. Additionally, the gelatin most likely remained at the administration site compared to the liquid solvent, increasing the viscosity and blocking the hole at the injection site. A possible mechanism that explains the reduction in backflow with gelatin is likely the increased viscosity of the injectate with gelatin and/or gelatin-based gel formation after exposure to the aqueous tissue environment, as suggested in previous studies (Morhard et al., 2017; 2020). We also speculated that high-viscosity gelatin is difficult to penetrate due to back-pressure to the injection force in the injection area, causing backflow from the injection site (Allmendinger et al., 2015). We also found that the GPs and HG significantly prevented backflow regardless of the needle size, showing a potential relevance of these molecules in preventing the loss of the active drugs through backflow following local injection. However, the injectability of HG was limited with smaller needle sizes, including 30G and 33G, and this limitation was caused by needle clogging and was most likely a result of the GP size and the high concentration of GPs in the case of GP75 or gelatin viscosity in the case of HG, hence indicating that these factors must be considered for the local injection of formulations containing GPs or HG with smaller needle sizes. Moreover, small needle sizes, such as 26G or 30G, are most often used to prevent backflow from the injection site, and our results showed reduced backflow with both the control PBS solution and the gelatin



Figure 4. A–C. Effect of HG low (a), medium (B), and high (C) molecular weight on backflow reduction in the BCM model. \*\*: p value  $\leq$  .01, \*\*\*: p value  $\leq$  .001. The experiment was performed with 27G needles.



**Figure 5.** A–B. Effect of the needle gauge on backflow reduction in  $GP_{35}$  concentration-dependent injection in VTT (a), VTT-T (B) tissues. \*: p value  $\leq .05$ , \*\*: p value  $\leq .01$ , \*\*\*\*: p value  $\leq .001$ . Figure 5C. Effect of the needle gauge on backflow reduction in  $GP_{75}$  and HG in BCM tissue. \*\*: p value  $\leq .01$ , \*\*\*: p value  $\leq .01$ . The experiment was performed with 27G needles.

microparticles with the smallest 33G needle used. However, the difference between them appeared significant, with GPs demonstrating the highest backflow reduction rate. To

minimize backflow, a slow insertion rate is preferred (Casanova et al., 2014;) to lessen tissue dimpling as a result of maximizing the compressive stress between the tissue and the



Figure 6. A-C. Effect of the injection volume on backflow reduction in  $GP_{35}$  and HG injection in VTT (a), VTT-T (B) and BCM (C) tissues. \*: p value  $\leq .05$ , \*\*: p value  $\leq .01$ , \*\*\*: p value  $\leq .001$ , \*\*\*: p value  $\leq .001$ .



Figure 7. Relationship between gelatin viscosity and backflow reduction. Gelatin solutions HG1, HG2, and HG3 of different molecular weights were injected 3 mm deep into the BCM tissue with 27G needles.

needle interface. Hence, an insertion rate of approximately 1 mm/s was observed during the experiments. Other factors that affect backflow are the injection volume, increased interstitial pressure and tumor size (Heise et al., 2014; Præstmark et al., 2016; Marabelle et al., 2018). In this study, either an injection volume of  $200\,\mu$ L in VTT and VTT-T or  $300\,\mu$ L in BCM resulted in leakage at different sites; therefore, the maximum injection volumes of  $100\,\mu$ L and  $200\,\mu$ L were adopted for VTT, VTT-T and BCM organs, respectively. The injection volume is an important factor in backflow occurrence (Heise et al., 2014; Præstmark et al., 2016). Therefore, the effect on injection volume was evaluated, and the backflow volume was positively related to the injection volumes, which was corroborated in previous studies (Heise et al., 2014; Mathaes et al., 2016; Præstmark et al., 2016). The backflow rates of GP and

HG were reduced compared to the control for injection volumes larger than  $20\,\mu$ L in any of the tissue models. An increase within the tissue model of pressure by larger material deposition and the volume of the model tissue limited the evaluation of injections with larger volumes. Although this study involved a proof-of-concept evaluation of backflow after the injection of GPs and HGs with a large range of needle sizes, including those as small as 33 G, and demonstrated the plausibility of reducing injectate backflow, it would also be necessary to investigate the relevance of these findings in backflow reduction in CED (convection-enhanced delivery) for brain tumor injection therapy, where backflow remains a challenge (Casanova et al., 2014). Nevertheless, this study is not without limitations, thus necessitating further investigation. First, the tissues used as models to mimic intramuscular and intratumoral injections are primarily used for surgical simulation and training, namely, VTT, which is reported to provide feeling and flexibility very similar to those of real tissue; however, the VTT and VTT-T models are plant-based synthetic tissues and therefore are different in terms of composition, as well as tissue density and internal pressure, compared to animal tissue. Additionally, the results obtained from using the BCM to mimic intramuscular injection to evaluate backflow prevention using different needle sizes may differ if evaluated with another muscular organ model similar to a human muscular organ model, such as a mouse muscular organ model. However, other technical factors, including the needle insertion angle and wait time before needle removal, which are reported to influence backflow, have been observed (; S.A.B.f.t.T.I.T. Workshop, 2010; Præstmark et al., 2016). Therefore, it will be of interest and necessary to explore the ability of GPs and HGs to prevent the backflow of active drugs applied with local injection routes, including intramuscular and intratumoral injection, in preclinical studies. Notably, the gelatin products used in this study are of pharmaceutical grade, and their use in the clinical study phase is also acceptable.

# Conclusion

In summary, this study showed that GPs and HG can reduce the backflow of drugs by inducing the timely closure of the hole at the injection site following local injection, and an optimal gelatin viscosity plays a key role in backflow reduction. Our findings imply that GPs or HGs can prevent the backflow of drugs through the needle passage site, and further investigation regarding applicability for backflow reduction in tumor and organ injections would be relevant.

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# **Authors' contributions**

Conceptualization, YH, KK, and FMNK; Methodology, KK, FMNK, YM and YH; Investigation, KK and FMNK; Writing-original draft, FMNK; Review & editing, FMNK and YH; Project administration, YH and YM. All authors have read and agreed to the published version of the manuscript.

# Institutional review board statement

Not applicable

#### Informed consent statement

Not applicable

# **Disclosure statement**

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#### Data availability statement

The data presented in this study are available upon request to the corresponding authors.

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