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# Association of Body Mass Index and Abdominal Obesity with the Risk of Airflow Obstruction: National Health and Nutrition Examination Survey (NHANES) 2007–2012

Xiaofei Zhang<sup>a</sup>, Hongru Chen<sup>a</sup>, Kunfang Gu<sup>b</sup> and Xiubo Jiang<sup>a</sup> 

<sup>a</sup>Department of Epidemiology and Health Statistics, The School of Public Health, Qingdao University, Qingdao, Shandong, China; <sup>b</sup>Weifang Center for Disease Control and Prevention, Weifang, Shandong, China

## ABSTRACT

This study aimed to explore the relationship between body mass index (BMI) and abdominal obesity and the risk of airflow obstruction, based on the data from the 2007–2012 National Health and Nutrition Survey (NHANES). Logistic regression was applied to assess the relationships between BMI or abdominal obesity and the risk of airflow obstruction by the fixed ratio method and the lower limit of normal (LLN) method. We further used the restricted cubic splines with 3 knots located at the 5th, 50th, and 95th percentiles of the distribution to evaluate the dose-response relationship. A total of 12,865 individuals aged 20–80 years old were included. In the fixed ratio method, underweight was positively correlated with the risk of airflow obstruction, and overweight and obesity were negatively correlated with the risk of airflow obstruction. In the LLN method, the results were consistent with the fixed ratio method. Abdominal obesity was positively associated with the risk of airflow obstruction only in the fixed ratio method (OR: 1.41, 95% CI: 1.04–1.90). There was an additive interaction between underweight and smoking on airflow obstruction in both methods. Abdominal obesity and smoking had additive interactions in the LLN method. Dose-response analysis indicated that there was a non-linear trend between BMI and the risk of airflow obstruction ( $P_{\text{for nonlinearity}} < 0.01$ ). Our study suggested that underweight and abdominal obesity were associated with the increased risk of airflow obstruction, and overweight and general obesity were associated with the decreased risk of airflow obstruction.

## ABBREVIATIONS

AP: attributable proportion due to interaction; CI: confidence interval; COPD: chronic obstructive pulmonary disease; CRP: C-reactive protein; EELV: end-expiratory lung volume; ERV: expiratory reserve volume; FEV1: forced expiratory volume in one second; FVC: forced vital capacity; GOLD: Global Chronic Obstructive Pulmonary Disease Initiative; FR: fixed ratio; LLN: lower limit of normal; NCHS: National Center for Health Statistics; NHANES: National Health and Nutrition Survey; OR: odd ratio; RERI: relative excess risk due to interaction; SI: synergy index; WC: waist circumference

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additive interaction

## Introduction

Airflow obstruction is one of the abnormal types of spirometry, which can indicate a decline in lung function. Specifically, it mainly refers to the reduction of expiratory airflow relative to the total amount of exhaled air through spirometry [1]. Airflow obstruction is associated with a variety of chronic respiratory diseases [2–4], among which chronic obstructive pulmonary disease (COPD) is typically characterized by airflow obstruction that cannot be completely reversed [5]. COPD not only affects the quality of life of patients, but also causes huge losses to the healthcare system annually. By 2020, the total medical cost of COPD in the United States reached \$49 billion [6]. The irreversibility of COPD and the heavy financial burden make prevention and treatment imperative.

Airflow obstruction is associated with a variety of factors. As the main pathogenic factor of respiratory diseases, harmful

particles in cigarette smoke can not only cause lung inflammation, but also affect the ability of airway epithelial cells to maintain airway repair [7]. Besides, the influence of obesity on the respiratory system has been gradually concerned [8]. In America, the prevalence of overweight increased from 45.3% in 1980 to 64.2% in 2015, and the prevalence of obesity increased from 12.9% in 1980 to 28.3% in 2015 [9]. The effect of obesity on lung function may be related to the inflammatory and mechanical aspects of obesity [10]. In addition to general obesity measured by body mass index (BMI), abdominal obesity is also closely associated with lung function [11, 12]. Leone et al. showed that subjects with large waist circumference had about twice the risk of forced expiratory volume in one second (FEV1) below the lower limit of normal value, even in subjects with normal BMI [12].

Therefore, we explored the relationship between BMI, abdominal obesity, smoking and the risk of airflow

obstruction, based on the data from the 2007–2012 National Health and Nutrition Survey (NHANES), and evaluated a dose-response relationship between BMI and the risk of airflow obstruction. We also conducted stratified analysis and evaluated the interaction effect between underweight, abdominal obesity and smoking on airflow obstruction.

## Materials and methods

### Data collection and study population

NHANES is an ongoing, 2-year-cycle program managed by the National Center for Health Statistics (NCHS) of the Centers for Disease Control and Prevention. As a nationally representative non-institutional sample, NHANES uses a complex, stratified, and multi-stage sample design to assess the health and nutritional status of American civilians. The data of NHANES is collected through family interviews and health examinations conducted at the Mobile Examination Center. The study protocol was approved by the NCHS Institutional Review Board and obtained the informed consent of all participants.

In this study, public data from three cycles of NHANES (2007–2008, 2009–2010, 2011–2012) were used. In NHANES 2007–2012, there were a total of 30,442 individuals and our analyses were limited to 17,244 individuals aged 20–80. Of these, the individuals without complete data of FEV1/forced vital capacity (FVC) ( $n=3,857$ ), with missing data of BMI ( $n=65$ ), with missing data of waist circumference (WC) ( $n=299$ ), with incomplete data of smoking ( $n=8$ ), and females who were pregnant or lactating ( $n=150$ ) were further excluded. In the end, a total of 12,865 participants were included in the analyses (Figure 1).

### BMI and WC assessment

BMI is the simplest and most extensive anthropometric method to measure general obesity [BMI = weight (kg)/height ( $m^2$ )]. The division of BMI is based on World Health Organization standards: (1) underweight:  $<18.5 \text{ kg/m}^2$ ; (2) normal weight:  $18.5$  to  $<25.0 \text{ kg/m}^2$ ; (3) overweight:  $25.0$  to  $<30 \text{ kg/m}^2$ ; and (4) general obesity:  $\geq 30 \text{ kg/m}^2$ . Normal weight was used as the reference group. However, BMI does

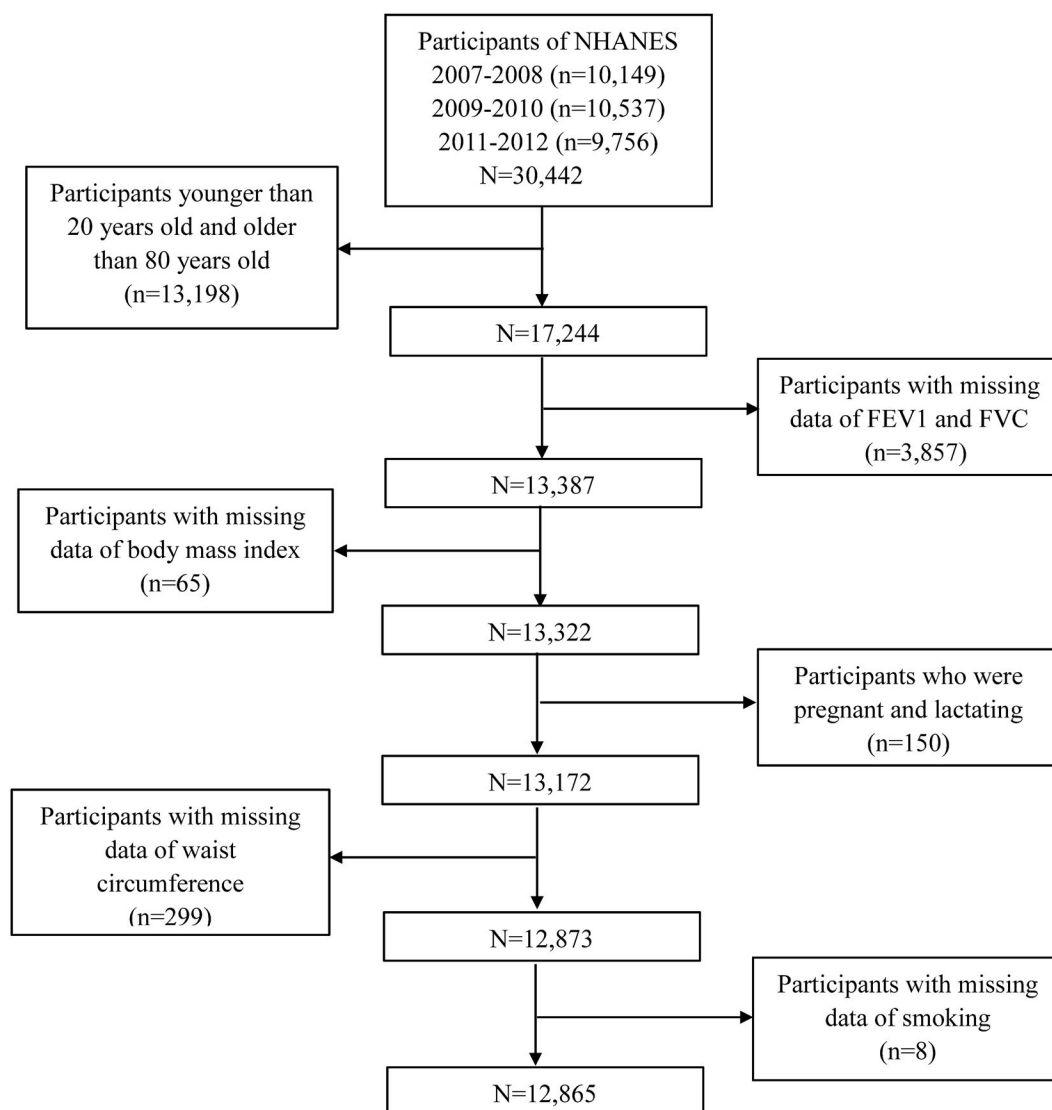


Figure 1. Flow chart of the screening process for the selection of eligible participants.

not reflect the difference in body fat distribution [13]. WC is the most common and convenient indicator to measure abdominal obesity [14]. Abdominal obesity is defined as waist circumference  $\geq 102$  cm in men and  $\geq 88$  cm in women.

### **Lung function assessment**

Lung function outcomes included: FEV1, FVC, and FEV1/FVC. There are two definitions of airflow obstruction: (1) The Global Chronic Obstructive Pulmonary Disease Initiative (GOLD) defined subjects with post-bronchodilator FEV1/FVC less than 70% to have airflow obstruction [5]. Considering that a large number of participants may have medical contraindications for bronchodilator therapy, there are limited data on post-bronchodilators in NHANES. So in this study, we used the date of pre-bronchodilator. There are also some studies that use this diagnostic criterion to diagnose airflow obstruction [15, 16]. (2) The American Thoracic Society (ATS) and the European Respiratory Society (ERS) recommend that the lower limit of normal (LLN) be defined as a threshold at which FEV1/FVC is less than the fifth percentile [1, 17].

### **Other covariates**

In order to control the influence of potential confounding factors, the following covariates were included: age (20–39 years, 40–59 years, and  $\geq 60$  years), sex (male and female), race (Mexican American, Other Hispanic, Non-Hispanic White, Non-Hispanic Black, and Other races), educational level (below high school, high school, and above high school), annual household income ( $< \$20,000$  and  $\geq \$20,000$ ), work activity (vigorous activity, moderate activity, and other), recreational activity (vigorous activity, moderate activity, and other), smoking (smoking at least 100 cigarettes in life or not), marital status (married, living with partner, widowed, divorced, separated, and never married), diabetes (yes, or no), hypertension (yes, or no), and respiratory illness (yes, or no). The covariate "respiratory illness" in NHANES was defined that in the past 7 days, have you had a cough, cold, phlegm, runny nose or other respiratory illness?

### **Statistical analysis**

In order to make a nationally representative estimate, appropriate sampling weights and stratum information were applied in this study. Considering the complex sampling design, all analyses were adjusted according to the survey design and weight variables. Because this study combined three cycles of NHANES data, a new sample weight (the original 2-year sample weight divided by 3) was constructed before the analysis. Kolmogorov-Smirnov normality test was used to test the normality of continuous variables. Normally distributed variables were described by mean  $\pm$  standard deviation, and non-normally distributed variables were described by median (interquartile difference). The student's *t*-test was adopted to compare the mean levels between the airflow obstruction group and the non-airflow obstruction group if

the variable was normally distributed, otherwise, the Mann-Whitney U test was adopted. Chi-square test was adopted to compare the percentage of categorical variables between individuals with and without airflow obstruction.

Logistic regression analysis was used to calculate the odds ratio (OR) of the risk of airflow obstruction according to BMI, smoking, and abdominal obesity. Model 1 was adjusted for age and sex. Model 2 was additionally adjusted for race, educational level, smoking status, family income, marital status, work activity, recreational activity, hypertension, diabetes, and respiratory illness. In addition, taking non-abdominal obesity and normal weight as a reference, we combined abdominal obesity with BMI to intensively explore the relationship between different categories of BMI and the risk of airflow obstruction under abdominal obesity/non-abdominal obesity. Non-abdominal obesity was categorized into four groups (underweight, normal weight, overweight, and general obesity). There were no subjects with underweight and abdominal obesity in our data, so abdominal obesity was divided into three groups (normal weight, overweight, and general obesity). And the stratified analysis was performed by sex and smoking status to further examine the associations between BMI, abdominal obesity with the risk of airflow obstruction.

The additive model was used to explore the existence of biological interaction. First, divide the participants into four groups according to whether they are underweight or abdominal obesity. The additive interaction of underweight or abdominal obesity and smoking on the risk of airflow obstruction was tested by calculating the relative excess risk due to interaction (RERI), the attributable proportion due to interaction (AP), and the synergy index (SI). The above indicators are calculated using the Excel table designed by Andersson et al. [18]. We further used the restricted cubic splines with 3 knots located at the 5th, 50th, and 95th percentiles of the distribution to evaluate the dose-response relationship. The *p*-value of non-linearity was calculated by testing the value of the coefficient of the second spline of zero. All statistical analyses were conducted by SPSS 24.0 and Stata 15.0 (Stata Corporation, College Station TX, USA). A two-sided  $p < 0.05$  was considered statistically significant.

## **Results**

The characteristics of 12,865 eligible participants were shown in Table 1. Males accounted for 50.6% of all participants. According to the fixed ratio method (FEV1/FVC  $< 70\%$ ), the prevalence of airflow obstruction was 13.6%; according to the LLN method (FEV1/FVC  $<$  the fifth percentile), the prevalence of airflow obstruction was 10.6%. Airflow obstruction was more likely to occur in older, male, Non-Hispanic White participants, and smokers. Those with airflow obstruction were more likely to have higher education, higher income and lower levels of recreational activities.

The weighted ORs and 95% CIs of airflow obstruction based on different grades of BMI were shown in Table 2. When airflow obstruction was defined by the fixed ratio

**Table 1.** Characteristics of participants by airflow obstruction, NHANES 2007–2012, adults ≥20years and ≤80years of age.

	Fixed ratio			LLN		
	Non-airflow obstruction	Airflow obstruction	P-value	Non-airflow obstruction	Airflow obstruction	P-value
Number of participants (%)	11,115 (86.4)	1750 (13.6)		11,504 (89.4)	1361 (10.6)	
Age group (years, %)			<0.01			<0.01
220–39	4529 (40.7)	212 (12.1)		2345 (41.9)	107 (12.0)	
40–59	4074 (36.7)	581 (33.2)		2024 (36.0)	283 (31.9)	
≥60	2512 (22.6)	957 (54.7)		1239 (22.1)	498 (56.1)	
Sex (%)			<0.01			<0.01
Male	5389 (48.5)	1116 (63.8)		5617 (48.8)	888 (65.2)	
Female	5726 (51.5)	634 (36.2)		5887 (51.2)	473 (34.8)	
Race/Ethnicity (%)			<0.01			<0.01
Mexican American	1909 (17.2)	132 (7.5)		1954 (17.0)	87 (6.4)	
Other-Hispanic	1274 (11.2)	120 (6.9)		1305 (11.3)	89 (6.5)	
Non-Hispanic White	4488 (40.4)	1053 (60.2)		4710 (40.9)	831 (61.1)	
Non-Hispanic Black	2424 (21.8)	348 (19.9)		2490 (21.6)	282 (20.7)	
Other race	1020 (9.2)	97 (5.5)		1045 (9.1)	72 (5.3)	
Level of education (%)			<0.01			<0.01
<High school	1671 (16.7)	320 (20.5)		1738 (16.8)	253 (20.9)	
High school	2478 (24.8)	452 (29.1)		2559 (24.7)	372 (30.7)	
>High school	5867 (58.5)	787 (50.4)		6066 (58.5)	588 (48.5)	
Marital status (%)			0.432			0.496
Married/Living with partner	958 (17.7)	124 (16.5)		983 (17.6)	99 (16.5)	
Widowed/Divorced/ Separated/Never married	4462 (82.3)	627 (83.5)		4589 (82.4)	500 (83.5)	
Household income (%)			<0.01			<0.01
Under \$20,000	2051 (19.3)	408 (24.2)		2134 (19.3)	325 (24.8)	
\$20,000 and over	8601 (87.0)	1280 (75.8)		8896 (80.7)	986 (75.2)	
Body mass index (kg/m <sup>2</sup> , %)			<0.01			<0.01
<18.5	138 (1.2)	42 (2.5)		147 (1.3)	34 (2.5)	
18.5 to <25 kg/m <sup>2</sup>	3029 (27.3)	585 (33.4)		3167 (27.5)	447 (32.8)	
25 to <30 kg/m <sup>2</sup>	3713 (33.4)	624 (35.7)		3841 (33.4)	496 (36.4)	
≥30 kg/m <sup>2</sup>	4235 (38.1)	498 (28.5)		4349 (37.8)	384 (28.2)	
Work physical activity (%)			0.081			0.054
Vigorous activity	2175 (19.6)	377 (21.6)		2255 (19.6)	297 (21.8)	
Moderate activity	2781 (22.3)	401 (22.9)		2565 (22.3)	317 (23.3)	
Other	6459 (58.1)	971 (55.5)		6684 (58.1)	746 (54.9)	
Recreational physical activity (%)			<0.01			<0.01
Vigorous activity	2707 (24.4)	244 (13.9)		2775 (24.1)	176 (12.9)	
Moderate activity	2949 (26.5)	488 (27.9)		3082 (26.8)	355 (26.1)	
Other	5458 (49.1)	1018 (58.2)		5646 (49.1)	830 (61.0)	
Abdominal obesity	6110 (55.0)	925 (52.9)	0.099	6298 (54.7)	737 (54.2)	0.743
Smoking at least 100 cigarettes (%)	4614 (41.5)	1240 (70.9)	<0.01	4836 (42.0)	1018 (74.8)	<0.01
Diabetes (%)	1102 (9.9)	274 (14.1)	<0.01	1153 (10.0)	196 (14.4)	<0.01
Hypertension (%)	3274 (29.5)	778 (44.5)	<0.01	3424 (29.8)	628 (46.1)	<0.01
Respiratory illness (%)	1980 (18.4)	447 (26.6)	<0.01	2053(18.5)	374 (28.7)	<0.01

LLN, lower limit of normal.

**Table 2.** Weighted odds ratios (95% confidence intervals) of airflow obstruction across body mass index and smoking, NHANES 2007–2012 (N=12,865).

		Case/Participants	Crude	Model 1 <sup>a</sup>	Model 2 <sup>b</sup>
Fixed ratio	<b>BMI (kg/m<sup>2</sup>)</b>				
	<18.5	43/181	1.61 (1.13–2.30)	1.91 (1.30–2.82)	1.97 (1.13–3.44)
	18.5 to <25	585/3614	1.00 (Ref.)	1.00 (Ref.)	1.00 (Ref.)
	25 to <30	624/4337	0.87 (0.77–0.98)	0.63 (0.56–0.72)	0.54 (0.43–0.68)
	≥30	498/4733	0.61 (0.54–0.69)	0.46 (0.40–0.53)	0.47 (0.37–0.60)
	<b>Smoking</b>				
	No	510/7011	1.00 (Ref.)	1.00 (Ref.)	1.00 (Ref.)
	Yes	1240/5854	3.41 (3.05–3.81)	2.90 (2.58–3.25)	2.81 (2.28–3.46)
	<b>BMI (kg/m<sup>2</sup>)</b>				
	<18.5	34/181	1.63 (1.11–2.41)	1.98 (1.30–3.02)	1.97 (1.08–3.60)
LLN	18.5 to <25	447/3614	1.00 (Ref.)	1.00 (Ref.)	1.00 (Ref.)
	25 to <30	496/4337	0.91 (0.79–1.04)	0.67 (0.58–0.77)	0.48 (0.35–0.65)
	≥30	384/4733	0.62 (0.54–0.72)	0.47 (0.41–0.55)	0.38 (0.26–0.55)
	<b>Smoking</b>				
	No	343/7011	1.00 (Ref.)	1.00 (Ref.)	1.00 (Ref.)
	Yes	1018/5854	5.48 (4.50–6.68)	4.54 (3.71–5.56)	3.25 (2.36–4.47)

BMI, body mass index; LLN, lower limit of normal.

<sup>a</sup>Adjusted for age and sex.<sup>b</sup>Adjusted for age, sex, race, educational level, income, marital, recreational activity, work activity, hypertension, diabetes, and respiratory illness.



**Table 3.** Weighted odds ratios (95% confidence intervals) of airflow obstruction across body mass index, stratified by sex and smoking, NHANES 2007–2012 ( $N=12,865$ ).

	BMI (kg/m <sup>2</sup> )	Sex		Smoking	
		Male	Female	Yes	No
Fixed ratio	<18.5	1.09 (0.42–1.81)	2.46 (1.25–4.86)	2.13 (1.13–4.00)	1.65 (0.53–5.09)
	18.5 to <25	1.00 (Ref.)	1.00 (Ref.)	1.00 (Ref.)	1.00 (Ref.)
	25 to <30	0.65 (0.48–0.89)	0.37 (0.26–0.54)	0.55 (0.41–0.71)	0.49 (0.31–0.76)
	≥30	0.57 (0.41–0.79)	0.34 (0.24–0.48)	0.50 (0.38–0.66)	0.39 (0.25–0.61)
LLN	<18.5	2.36 (0.79–7.03)	1.58 (0.63–3.96)	2.12 (0.97–4.62)	1.27 (0.28–5.62)
	18.5 to <25	1.00 (Ref.)	1.00 (Ref.)	1.00 (Ref.)	1.00 (Ref.)
	25 to <30	0.53 (0.28–1.00)	0.39 (0.20–0.77)	0.55 (0.24–0.87)	0.57 (0.26–1.29)
	≥30	0.56 (0.29–1.10)	0.35 (0.18–0.68)	0.50 (0.30–0.85)	0.79 (0.32–1.96)

Adjusted for age and sex, race, educational level, income, marital, recreational activity, work activity, hypertension, diabetes, and respiratory illness.  
BMI, body mass index; LLN, lower limit of normal.

**Table 4.** Weighted odds ratios (95% confidence intervals) of airflow obstruction across abdominal obesity and body mass index, NHANES 2007–2012 ( $N=12,865$ ).

Abdominal obesity	BMI (kg/m <sup>2</sup> )	N	Fixed ratio	LLN
No	18.5 to <25	3338	1.00 (Ref.)	1.00 (Ref.)
	<18.5	181	2.06 (1.18–3.60)	2.01 (1.09–3.67)
	25 to <30	2138	0.49 (0.35–0.67)	0.50 (0.35–0.71)
	≥30	173	0.61 (0.23–1.61)	0.47 (0.14–1.59)
Yes	18.5 to <25	276	1.42 (0.84–2.40)	1.58 (0.90–2.77)
	25 to <30	2199	0.62 (0.46–0.83)	0.66 (0.48–0.91)
	≥30	4560	0.49 (0.37–0.63)	0.53 (0.40–0.70)

Adjusted for age and sex, race, educational level, income, marital, recreational activity, work activity, hypertension, smoking status, diabetes, and respiratory illness.  
LLN, lower limit of normal.

method, compared with normal weight, the ORs with 95% CIs of airflow obstruction for underweight, overweight, and general obesity was 1.61 (1.13–2.30), 0.87 (0.77–0.98), and 0.61 (0.54–0.69), respectively. After adjusting age and sex (model 1), underweight, overweight, and general obesity were still associated with airflow obstruction. After further adjusting race, educational level, annual household income, work activity, recreational activity, smoking, marital status, hypertension, and respiratory illness (model 2), the adjusted ORs with 95% CIs of airflow obstruction for underweight, overweight, and general obesity were 1.97 (1.13–3.44), 0.54 (0.43–0.68), and 0.47 (0.37–0.60), respectively. In model 2, compared to nonsmokers, the adjusted OR with 95% CI of airflow obstruction for smokers was 2.81 (2.28–3.46). When airflow obstruction was defined by the LLN method, underweight was also positively correlated with the risk of airflow obstruction, and overweight and obesity were negatively correlated with the risk of airflow obstruction.

The association between BMI and the risk of airflow obstruction in stratified analyses by sex and smoking status were shown in Table 3. Based on the fixed ratio method, overweight and obesity were all negatively associated with the risk of airflow obstruction; underweight was still positively related to the risk of airflow obstruction in women (OR: 2.46, 95% CI: 1.25–4.86) and smokers (OR: 2.13, 95% CI: 1.13–4.00), but not in men (OR: 1.09, 95% CI: 0.42–1.81) and nonsmokers (OR: 1.65, 95% CI: 0.53–5.09). When airflow obstruction was defined by the LLN method, underweight was not significantly associated with airflow obstruction in stratified analyses; overweight and obesity were only significantly associated with airflow obstruction in women and smokers.

The weighted ORs and 95% CIs of airflow obstruction based on the combination of abdominal obesity with BMI

were shown in Table 4. Compared with non-abdominal obesity and normal weight, the multivariate-adjusted ORs with 95% CIs of airflow obstruction (fixed ratio method) for underweight, overweight, and general obesity in non-abdominal obesity group was 2.06 (1.18–3.60), 0.49 (0.35–0.67), and 0.61 (0.23–1.61), respectively. Underweight was associated with an increased risk of airflow obstruction in people without abdominal obesity. There were no subjects with underweight in the abdominal obesity group, so the multivariate-adjusted ORs with 95% CIs of airflow obstruction for normal weight, overweight, and general obesity in the abdominal obesity group was 1.42 (0.84–2.40), 0.62 (0.46–0.83), and 0.49 (0.37–0.63), respectively. High BMI has been associated with a decreased risk of airflow obstruction regardless of abdominal obesity. In the LLN method, the results were consistent with the fixed ratio method.

The adjusted ORs and 95% CIs of airflow obstruction based on abdominal obesity were shown in Table 5. And we further stratified analyses by sex and smoking status. The adjusted OR and 95% CI of airflow obstruction (fixed ratio method) based on abdominal obesity was 1.41 (1.04–1.90). The relationship between abdominal obesity and airflow obstruction remained significant in men (OR: 1.81, 95% CI: 1.21–2.74) and smokers (OR: 1.46, 95% CI: 1.03–2.08), but not in women (OR: 1.16, 95% CI: 0.72–1.86) and nonsmokers (OR: 1.24, 95% CI: 0.71–2.17). In the LLN method, the relationship between abdominal obesity and airflow obstruction was not statistically significant.

According to BMI and smoking status, participants were divided into 4 subgroups. The additive interaction effects between underweight and smoking were showed in Table 6. After adjusting for age, sex, and WC, RERI was 3.916

**Table 5.** Weighted odds ratios (95% confidence intervals) of airflow obstruction across abdominal obesity and stratified by sex and smoking, NHANES 2007–2012 ( $N=12,865$ ).

			Fixed ratio	LLN
<b>Total</b>		Non-abdominal obesity	1.00 (Ref.)	1.00 (Ref.)
		Abdominal obesity	1.41(1.04–1.90)	1.38(0.98–1.93)
<b>Sex</b>	Male	Non-abdominal obesity	1.00 (Ref.)	1.00 (Ref.)
		Abdominal obesity	1.81 (1.21–2.74)	1.28 (0.72–2.28)
	Female	Non-abdominal obesity	1.00 (Ref.)	1.00 (Ref.)
		Abdominal obesity	1.16 (0.72–1.86)	1.09 (0.68–1.74)
<b>Smoking</b>	Yes	Non-abdominal obesity	1.00 (Ref.)	1.00 (Ref.)
		Abdominal obesity	1.46 (1.03–2.08)	1.07 (0.71–1.62)
	No	Non-abdominal obesity	1.00 (Ref.)	1.00 (Ref.)
		Abdominal obesity	1.24 (0.71–2.17)	0.60 (0.23–1.51)

Adjusted for age, sex, race, educational level, income, marital, body mass index, recreational activity, work activity, hypertension, smoking status, diabetes, and respiratory illness.  
OR, odd ratio; CI, confidence interval; LLN, lower limit of normal.

**Table 6.** Interaction effects between underweight and smoking.

Underweight	Smoking	N	Fixed ratio		LLN	
			OR (95% CI) <sup>a</sup>	Interaction indexes (95% CI)	OR (95% CI) <sup>a</sup>	Interaction indexes (95% CI)
No	No	6929	1.00		1.00	
No	Yes	5755	2.89 (1.95–4.28)	RERI= 3.916 (0.243,7.588)	3.41 (2.97–3.92)	RERI= 4.216 (0.074,8.359)
Yes	No	82	1.44 (0.60–3.43)	AP= 0.540 (0.231,0.848)	1.49 (0.53–4.23)	AP= 0.518 (0.222,0.815)
Yes	Yes	99	2.67 (1.10–6.46)	SI= 2.673 (1.106,6.460)	8.13 (5.01–13.19)	SI= 2.445 (1.144,5.226)
<b>Male</b>						
No	No	2991	1.00		1.00	
No	Yes	3449	2.66 (1.65–4.31)	RERI= 0.359(–3.497,4.215)	3.33 (2.79–3.96)	RERI= –0.279 (–5.761,5.204)
Yes	No	20	1.74 (0.37–8.05)	AP= 0.095 (–0.889,1.079)	2.83 (0.61–13.14)	AP= –0.057 (–1.202,1.088)
Yes	Yes	45	3.76 (1.91–7.42)	SI= 1.149 (0.252,5.243)	4.88 (2.41–9.88)	SI= 0.933(0.242,3.603)
<b>Female</b>						
No	No	3938	1.00		1.00	
No	Yes	2306	3.23 (2.71–3.85)	RERI= 8.552(1.187,15.918)	3.53 (2.87–4.33)	RERI= 8.914 (0.872,16.956)
Yes	No	62	1.25 (0.43–3.61)	AP= 0.711 (0.506,0.915)	0.95 (0.22–4.07)	AP= 0.719 (0.508,0.929)
Yes	Yes	54	12.04 (6.51–22.23)	SI= 4.442 (1.903,10.367)	12.10 (6.45–23.83)	SI= 4.581 (1.872,11.207)

AP, the attributable proportion due to interaction; CI, confidence interval; OR, odd ratio; RERI, relative excess risk due to interaction; SI, the synergy index; LLN, lower limit of normal.

<sup>a</sup>Adjusted for age, sex, and waist circumference.

(95% CI 0.243–7.588), indicating that due to the additive interaction, there would be 3.916 relative excess risk. AP was 0.540 (95% CI 0.231–0.848), indicating that 54% of airflow obstruction can be attributed to the additive interaction of underweight and smoking. SI was 2.673 (95% CI 1.106–6.460), indicating that the risk of airflow obstruction for underweight smokers was 2.673 times higher than the total risk for participants exposed to underweight or smoking any single risk factor. The results of sex stratification showed that there was a significant additive interaction between underweight and smoking in women (RERI = 8.552 (95% CI 1.187–15.918); AP = 0.711 (95% CI 0.506–0.915); SI = 4.442 (95% CI 1.903–10.367)), but not in men. In the LLN method, there was additive interaction between underweight and smoking on airflow obstruction (RERI = 4.216 (95% CI 0.074–8.359); AP = 0.518 (95% CI 0.222–0.815); SI = 2.445 (95% CI 1.144–5.226)), and it also existed in women (RERI = 8.914 (95% CI 0.872–16.956); AP = 0.719 (95% CI 0.508–0.929); SI = 4.581 (95% CI 1.872–11.207)), which was consistent with the above results of the fixed ratio method.

The additive interaction effects between abdominal obesity and smoking were presented in Table 7. None of the RERI or AP or SI values were statistically significant between abdominal obesity and smoking after adjusting for age, sex,

and BMI. However, the results of sex stratification indicated that abdominal obesity and smoking have a significant additive interaction effect in men (RERI = 0.804 (95% CI 0.087–1.521); AP = 0.251 (95% CI 0.049–0.382); and SI = 1.417 (95% CI 1.038–1.934)), but not in women. In the LLN method, there was an additive interaction between abdominal obesity and smoking on airflow obstruction (RERI = 1.059 (95% CI 0.276–1.841); AP = 0.213 (95% CI 0.079–0.347); and SI = 1.417 (95% CI 1.091–1.703)), but not in sex stratification.

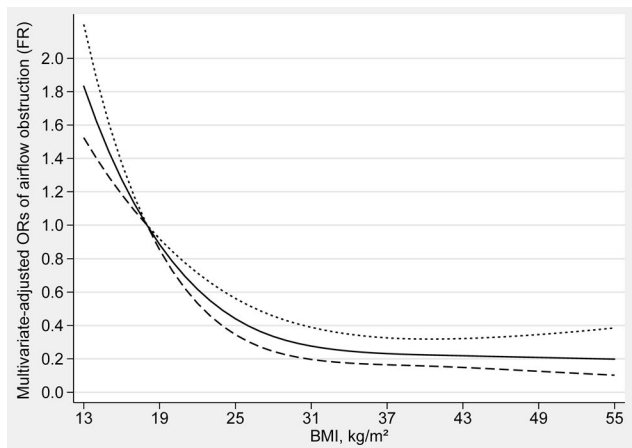
The dose-response relationship between BMI and the risk of airflow obstruction was shown in Figure 2 (fixed ratio) and Figure 3 (LLN). The trend of BMI and the risk of airflow obstruction was completely consistent in both methods. There was an L-shaped and non-linear negative association between BMI and airflow obstruction ( $P_{\text{for non-linearity}} < 0.01$ ) in restricted cubic spline models. When BMI is less than 17 kg/m<sup>2</sup> (OR: 1.12, 95% CI: 1.08–1.16), the risk of airflow obstruction gradually increases with the decrease of BMI. When BMI exceeds 18 kg/m<sup>2</sup> (OR: 1.00, 95% CI: 1.00–1.00), the risk of airflow obstruction gradually decreases with the increase of BMI. When BMI exceeds 32 kg/m<sup>2</sup> (OR: 0.29, 95% CI: 0.21–0.42), as BMI increases, the risk of airflow obstruction will not further decrease.

**Table 7.** Interaction effects between abdominal obesity and smoking.

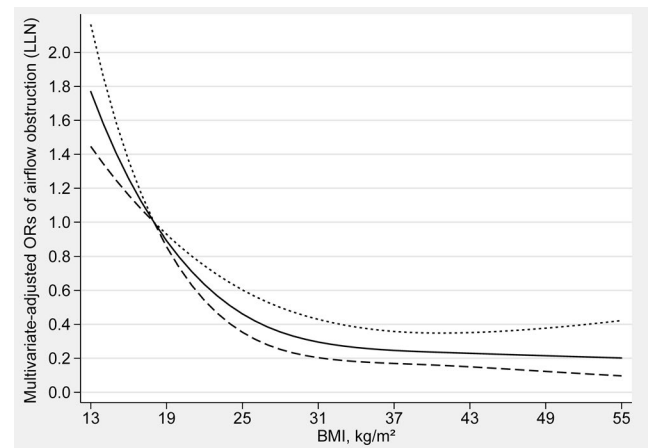
Abdominal obesity	Smoking	N	Fixed ratio		LLN	
			OR (95% CI) <sup>a</sup>	Interaction indexes (95% CI)	OR (95% CI) <sup>a</sup>	Interaction indexes (95% CI)
No	No	3172	1.00		1.00	
No	Yes	2658	2.97 (2.52–3.50)	RER/= 0.217 (–0.255,0.689)	3.43 (2.82–4.17)	RER/= 1.059 (0.276,1.841)
Yes	No	3839	0.91 (0.74–1.13)	Ap= 0.070 (–0.077,0.217)	1.48 (1.14–1.93)	Ap= 0.213 (0.079,0.347)
Yes	Yes	3196	3.11 (2.55–3.78)	SI= 1.115 (0.878,1.416)	4.97 (3.94–6.27)	SI= 1.363 (1.091,1.703)
<b>Male</b>						
No	No	1782	1.00		1.00	
No	Yes	1965	2.53 (2.06–3.11)	RER/= 0.804 (0.087,1.521)	4.54 (3.44–5.99)	RER/= –0.129(–10.850,10.591)
Yes	No	1229	1.39 (1.03–1.88)	Ap= 0.251 (0.049,0.382)	4.22 (0.52–34.28)	Ap= –0.017 (–1.427,1.393)
Yes	Yes	1529	3.73 (2.89–4.81)	SI= 1.417 (1.038,1.934)	7.64 (3.28–17.80)	SI= 0.981 (0.200,4.823)
<b>Female</b>						
No	No	1390	1.00		1.00	
No	Yes	693	3.37 (2.50–4.53)	RER/= 0.101 (–0.894,1.095)	4.34 (3.04–6.20)	RER/= 0.027 (–1.238,1.778)
Yes	No	2610	1.07 (0.76–1.51)	Ap= 0.028 (–0.249,0.350)	1.55 (1.03–2.34)	Ap= –0.052 (–0.232,0.336)
Yes	Yes	1667	3.54 (2.55–4.92)	SI= 1.041 (0.698,1.533)	5.17 (3.49–7.65)	SI= 1.069(0.735,1.555)

AP, the attributable proportion due to interaction; CI, confidence interval; OR, odd ratio; RERI, relative excess risk due to interaction; SI, the synergy index; LLN, lower limit of normal.

<sup>a</sup>Adjusted for age, sex, and body mass index.



**Figure 2.** Dose-response relationship between BMI and the ORs of airflow obstruction (FR). The solid line and dashed line represent the estimated ORs and their 95% confidence intervals. BMI, body mass index; FR, fixed ratio; LLN, lower limit of normal; OR, odds ratio.



**Figure 3.** Dose-response relationship between BMI and the ORs of airflow obstruction (LLN). The solid line and dashed line represent the estimated ORs and their 95% confidence intervals. BMI, body mass index; FR, fixed ratio; LLN, lower limit of normal; OR, odds ratio.

## Discussion

In this study, we found that underweight, abdominal obesity, and smoking were associated with the increased risk of airflow obstruction, and overweight and general obesity were associated with the decreased risk of airflow obstruction. We used two methods to define airflow obstruction, and the results were slightly different but basically consistent. The dose-response relationship showed that BMI had L-shaped and non-linear associations with the risk of airflow obstruction. When BMI up to 33 kg/m<sup>2</sup>, OR is close to the threshold, the line begins to reach a plateau.

The mechanism of BMI and airflow obstruction is not yet fully clear. A meta-analysis of clinical trials found a significant correlation between BMI and the rate of decline in lung function [19]. Specifically, lower BMI was associated with a faster FEV1 decrease compared to a normal BMI, and higher BMI was associated with slower FEV1 decline [20, 21]. In addition, underweight can lead to the loss of respiratory muscle and skeletal muscle mass, affecting lung function [22]. These findings might be partly explained that

underweight was associated with a higher risk of airflow obstruction.

The main symptom of COPD patients is shortness of breath during progressive exercise. The main mechanism to explain this symptom is the development of pulmonary hyperinflation (LH), which is defined as the abnormal increase of gas volume in the airway and lung, resulting in an increase of functional residual capacity (FRC) exceeding the predicted value [23, 24]. Since the static lung volume decreases with the increase of BMI, general obesity also has a protective effect on the level of hyperinflation [25, 26]. Therefore, the increase of BMI may have some beneficial physiological effects on airflow obstruction. Many studies have shown that obesity may have a protective effect on patients with advanced COPD, which may be related to the "obesity paradox" [27–29]. The obesity paradox usually refers to the contradictory relationship between obesity and the improvement of survival rate for those with major or chronic diseases [30]. P Chittal et al. found that cardiopulmonary fitness (CRF) may be the main reason for this "obesity



paradox". Overweight and obese individuals have higher CRF and better functional results [29]. In addition, the main effect of obesity on lung function is not only the reduction of FRC and expiratory reserve volume (ERV), but also the decrease of FEV1 and FVC with the increase of BMI [31, 32]. Colak et al. found that FVC decreased more significantly than FEV1, which may result in underdiagnosis of airflow restriction in overweight and obesity individuals [33]. The relationship between high BMI and airflow obstruction needs to be further verified by cohort study.

Although BMI is usually used as a comprehensive indicator to measure body weight, one of its limitations is that it does not consider differences in fat distribution. Body fat distribution is closely related to lung function [34]. A cohort study from Italy showed that abdominal obesity has the independent ability to predict lung damage [35]. Furthermore, it has been demonstrated that other abdominal obesity markers, such as waist-hip ratio or abdominal height, are inversely proportional to FEV1 and FVC when adjusted for BMI [36, 37]. Abdominal obesity may affect pulmonary function through a mechanism different from general obesity. In individuals with abdominal obesity, fat accumulates in the chest and abdomen, restricting the downward movement of the diaphragm and the outward movement of the chest wall. The limitation of diaphragm movement and rib movement is critical to ventilation mechanics [38].

Sex differences in fat distribution patterns are one of the mechanisms of sex differences in lung function impairment caused by abdominal obesity. Male fat is mainly concentrated in the chest and abdominal visceral adipose tissue, forming an "apple-shaped" distribution, while female fat is mainly concentrated in the subcutaneous adipose tissue of hips and thighs, forming a "pear-shaped" distribution [39, 40]. The subcutaneous adipose tissue absorbs free fatty acids and triglycerides in the circulation, but it can actually provide a protective effect, which is more in women [41]. However, the excess fatty tissue deposits in the abdomen will produce greater resistance to the diaphragm and hinder the ventilation mechanism [31, 35]. In other words, the fat distribution around the abdominal organs, which is concentrated mainly in men, is associated with an increased risk of impaired lung function [42, 43].

At present, there are two mainstream methods to define airflow obstruction: the fixed ratio method and the LLN method. Although the fixed ratio method is a simple and effective method to diagnose airflow obstruction, it is easy to over diagnose the elderly because the FEV1/FVC ratio decreases with age [44–46]. In addition, defining airflow obstruction as a fixed ratio of FEV1/FVC will lead to age, height, sex, and race related bias [47]. The use of the LLN method can not only avoid this bias, but also reduce the risk of false-positive diagnoses in elderly subjects and false-negative diagnoses in young subjects [48]. But a study based on pooled data from four U.S. general population cohorts supported 0.70 as the best FEV1/FVC threshold for determining clinically significant airflow obstruction [49]. This study suggests that 0.70 may be applicable to all adults

because there is no significant threshold that is more accurate than 0.70 in the analysis adjusted for anthropometric and sociodemographic characteristics. Therefore, we use two methods to judge airflow obstruction and find that the two results are basically consistent.

Compared with previous research, there are main significant advantages in our research. First, we used two methods (fixed ratio method and LLN method) to define airflow obstruction and compare the results. Second, we found that the relationship between different grades of BMI (underweight, overweight, and general obesity) and the risk of airflow obstruction was inconsistent. Third, we not only considered the fat distribution and studied the relationship between abdominal obesity and the risk of airflow obstruction, but also combined BMI and abdominal obesity to further explore the relationship between them. Fourthly, we analyzed the interaction between underweight or abdominal obesity and smoking, which is not available in previous studies.

However, there are also several limitations in our study. First, as a cross-sectional study, it is difficult to determine causality between BMI, abdominal obesity, and the risk of airflow obstruction. we cannot exclude the possibility of reverse causality. Second, although abdominal obesity can indicate the distribution of fat, it does not represent the proportion of fat. The relationship between body fat percentage and lung function can be further studied in the future. Third, due to the lack of asthma data, the analysis of the relationship between BMI and airflow obstruction may not be comprehensive enough. Fourth, the reversibility of airflow obstruction cannot be judged by the data of pre-bronchodilators.

## Conclusions

In conclusion, our study suggested that BMI and abdominal obesity were associated with airflow obstruction. Specifically, underweight and abdominal obesity were associated with the increased risk of airflow obstruction, and overweight and general obesity were associated with the decreased risk of airflow obstruction. Underweight and abdominal obesity have significant additive interaction with smoking on airflow obstruction. Further large-scale prospective studies are needed to confirm these conclusions.

## Declaration of interests

The authors declare that they have no competing interests.

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## ORCID

Xiubo Jiang  <http://orcid.org/0000-0002-0688-7541>

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