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# Oral fluid-based rapid HIV testing: issues, challenges and research directions

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#### Global efforts to scale-up HIV testing

The HIV pandemic continues to take a heavy toll on developing countries, where the Joint United Nations Programme on HIV/AIDS (UNAIDS) estimates suggest that less than one in ten people are aware of their HIV serostatus [1,2]. In an effort to scale-up detection of

HIV and enhance prevention and treatment programs, agencies such as the UNAIDS and WHO suggest a shift from the current client-initiated HIV testing approach to a

provider-initiated testing and counseling (PITC) [1]. With the PITC approach, healthcare professionals actively encourage clients to get tested for HIV, thereby enabling treatment, care and prevention services to be provided. It is hoped that PITC will help in the detection of individuals with undiagnosed HIV and improve the uptake of antiretroviral treatment (ART) services now available in many resource constrained settings [1,2].

By contrast, in resource-rich settings, such as the USA, the Centers for Disease Control and Prevention (CDC) estimates that approximately 250,000–312,000 individuals are unaware of and living with undiagnosed HIV infection [3]. In addition, approximately 40% of adults chose to get tested only in the late stages of infection, which increases morbidity as well as healthcare costs [3]. The CDC recently published revised guidelines on testing in order to increase early detection in undiagnosed individuals and patients who present late, establish linkages to treatment and care, and reduce the stigma associated with HIV testing [3]. These guidelines recommend routine opt-out testing of all individuals (i.e., ado-

> lescents, pregnant women and adults between 13–64 years of age), and does not require pretest counseling or informed consent [3]. Thus, agencies such as the UNAIDS, WHO and

CDC are making concerted efforts to scale-up HIV testing and make testing accessible and user-friendly.

# Rapid point-of-care HIV tests & use of nonconventional specimens

To expedite screening and accurately diagnose HIV infection, rapid point-of-care HIV tests have been a breakthrough of sorts. Although, the majority of these rapid tests utilize whole blood, plasma and finger stick blood specimens, a few tests employ nonconventional specimens, such as saliva, oral mucosal fluid and urine [4,5]. Oral fluid-based rapid tests have potential advantages over blood-based rapid tests because of their convenience, noninvasiveness, ease of specimen collection, cultural acceptance, high accuracy and rapidity [4,6–8].

Although salivary and oral fluid-based tests have been in development for the past 20 years, it is only recently that oral fluidbased, rapid point-of-care tests have increased in popularity [5]. Oral fluid tests are based on a salivary component, the oral mucosal transudate or crevicular fluid, an interstitial transudate rich in IgG antibodies, used for diagnosing HIV infection [4,5,9]. Currently, only two commercial oral fluid-based rapid tests exist, with variants sold under different names [4,6,8,10]:

- OraQuick® Advance (OraSure Technologies Inc., PA, USA; a US FDA-approved, Clinical Laboratory Improvement Amendments-waived test) and OraQuick RAPID HIV1/2 test (available in developing countries)
- Calypte Vanguard HIV<sup>®</sup> (Calypte Biomedical Corp., OR, USA) and Calypte Aware® HIV1/2 is awaiting FDA approval

All these rapid point-of-care tests utilize oral mucosal fluid, and test results are available in less than 30 min [5,11,12].

Since the emergence of salivary and oral fluid tests nearly two decades ago, over 60 studies on conventional and rapid pointof-care oral fluid tests have been conducted in various settings worldwide (>90 studies if salivary tests are also counted). These studies have evaluated various outcomes, such as diagnostic accuracy, client preference, acceptability, uptake and cost- and

time-effectiveness [13-17]. It is now an established fact that diagnostic accuracy of oral rapid point-of-care tests is high and that oral rapid point-of-care tests is their test results are consistent across developed and developing countries [7,13,18]. However, although accuracy is very high, oral fluid test results are considered preliminary. Therefore, the results of oral fluid tests require confirmatory testing with conventional tests, such as ELISA and/or western

blot [12]. The growing use of oral fluid HIV testing has raised certain issues and challenges, and these are discussed later.

## Concerns regarding nonreactive, false-positive & false-negative test results

As with any rapid point-of-care test, there is a possibility of false-positive, false-negative or nonreactive results with oral fluid tests. For example, in 2005, there were reports of falsepositive results with the oral OraQuick test from selected clinics in New York city and San Francisco in the USA [18]. However, subsequent investigations by the CDC were unable to clarify whether the quality of kits or inadequate quality control was responsible for the false-positive results [7,18]. By contrast, more recently, a study from India showed 100% sensitivity and specificity of the oral fluid-based OraQuick RAPID HIV1/2 test, demonstrating excellent performance of oral fluid testing even in a rural setting in India [13]. Thus, it is unclear why some studies report higher than usual rates of false-positive results.

False-negative test results may occur if testing is performed after ART is initiated or in acute HIV infection, where seroconversion has not occurred [15]. A nonreactive result in a recently exposed individual is another possibility; therefore, repeat testing of such high-risk individuals is recommended. Also, certain medical conditions may produce nonreactive results, such as IgG gammopathy, multiparity, rheumatoid arthritis, hepatitis, multiple myeloma, syphilis and lupus. Furthermore, certain substances may interfere with test performance, such as heparin, bacterial contamination, sodium citrate and elevated bilirubin [19].

To reduce erroneous results, quality assurance and quality control procedures laid down by the CDC should be met [101]. These include maintaining kits at the recommended temperature, maintaining temperature logs, running controls at regular intervals and performing external and internal control checks [19]. In addition, healthcare professionals must avoid using kits beyond the expiration date and discard poorly performing lots of kits. Overall, users of oral fluid tests must anticipate errors in test performance and carefully follow manufacturers' instructions and the CDC recommended guidelines on rapid HIV testing [101]. Furthermore, even if accuracy is high, predictive values of any test depend on disease prevalence and can vary across populations. This often ignored fact must be kept in mind while interpreting results of any test in any setting.

## Cultural acceptance of oral fluid HIV testing

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It is well known that blood specimen collection is a sensitive issue in some countries and racial/ethnic groups. For example, rural Indians do not like to give blood specimens because they perceive it will further worsen their health or make them weaker. A recent study in rural India found high preference for oral fluid vis-à-vis bloodbased finger stick HIV testing [13]. This study suggests that, in certain settings, non-

invasive specimens, such as oral fluids and urine, should be further studied owing to their potentially higher acceptance and greater feasibility even for large-scale population surveys.

## Populations where oral fluid HIV testing can have an impact

Because oral fluid HIV tests are accurate, feasible, noninvasive and culturally acceptable, they have the potential to make an impact in several high-risk, vulnerable populations. For example, oral fluid tests can be used in the labor room and emergency room settings in both developed and developing countries to expedite provision of interventions that can reduce mother-tochild HIV transmission [20]. They may also be helpful in testing marginalized populations, (i.e., sex workers, immigrants, intravenous drug users, and the homeless and incarcerated) living with undiagnosed HIV infection [21,22]. Targeted testing in these populations can help detect HIV early and reduce high-risk sexual practices/behavior, preventing further HIV transmission. Healthcare workers in developing countries are at risk of contracting HIV due to occupational exposure [16]. In such occupational settings, oral fluid rapid tests can greatly help in providing cost- and time-effective postexposure prophylaxis [16].

Although a few studies have evaluated the cost-effectiveness of oral fluid testing in comparison with conventional tests, the cost of the tests is a concern for its widespread use in developing countries [23]. In an effort to scale-up prevention and treatment linkages, the costs of these oral fluid tests must be kept affordable for people in developing countries that bear the brunt of the HIV epidemic and need them the most.

#### Future research directions

Although oral fluid HIV tests are highly accurate, the actual clinical and public health impact of the use of these tests in improv-

ing patient care and treatment outcomes are yet to be fully determined. Therefore, largescale, pragmatic studies are needed in reallife settings, especially in high-risk, vulnerable populations. These studies should not focus on accuracy, but focus on applicability and impact on long-term patient outcomes. For example, will the introduction of routine oral fluid-based rapid testing in a labor ward in India or Africa actually help expedite the

delivery of interventions to reduce perinatal HIV transmission? There is also a need to determine whether innovative approaches using oral fluid rapid tests can help in preventing transmission of HIV by modification of risk behavior. For example, will the easy availability of rapid oral fluid tests enhance voluntary testing among commercial sex workers and their clients?

In developed countries, there is a steadily growing demand for home-based HIV tests (along the lines of home-based pregnancy testing). Oral fluid tests are ideally suited for

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home-based self-testing because of their high accuracy, simplicity and ease of use. The FDA is considering the possibility of approving over-the-counter tests for HIV, but concerns remain about the lack of adequate pre- and post-test counseling. Data are lacking on the feasibility of home-based HIV self-testing and diagnostic trials are needed to evaluate them for home-based use.

In conclusion, given the large numbers of individuals living with undetected HIV infection worldwide, it is hoped that detection of such individuals with high-quality, rapid, accurate point-of-care oral HIV tests will enable provision of early, timely

'Although a few studies have evaluated the cost-effectiveness of oral fluid testing in comparison with conventional tests, the cost of the tests is a concern for its widespread use in developing countries.' IV tests will enable provision of early, timely and highly effective ART or expedite triage to care and prevention. However, throughout the testing process, ensuring privacy and confidentiality of test results, ensuring quality control and following quality assurance procedures at all times will help generate high-quality test results, leading to increased confidence in test results and encourage more individuals to come forward for HIV testing. Thus, rapid oral

fluid-based point-of-care HIV tests can produce substantial cost savings and reduce the global burden of HIV infection.

#### Conflict of interest

None

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