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**To cite this article:** Konrad Frank & Evangelia G Kranias (2000) Phospholamban and cardiac contractility, Annals of Medicine, 32:8, 572-578, DOI: [10.3109/07853890008998837](https://doi.org/10.3109/07853890008998837)

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Published online: 08 Jul 2009.



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# Phospholamban and cardiac contractility

*Konrad Frank and Evangelia G Kranias*

Over the last three decades, our knowledge and understanding of the role of phospholamban and its modulation of sarcoplasmic reticulum (SR) function has advanced significantly. Phospholamban is a key regulator of cardiac contractility and modulates SR  $\text{Ca}^{2+}$  sequestration by inhibiting the SR  $\text{Ca}^{2+}$ -ATPase (SERCA) in its dephosphorylated state. Upon phosphorylation, which is mediated through  $\beta$ -adrenergic stimulation, the inhibitory effect of phospholamban on the function of SERCA is relieved. This review summarizes recent advances that have been made towards understanding the modulation of SR  $\text{Ca}^{2+}$ -sequestration by phospholamban through the generation and characterization of genetically altered animal models. It also discusses the role of phospholamban in human heart failure and recent attempts to restore SR function in experimentally induced and human heart failure, which may be translated into future therapeutic approaches in the treatment of this disease.

**Key words:** cardiac contractility; phospholamban; relaxation; sarcoplasmic reticulum.

*Ann Med* 2000; 32: 572–578.

## Introduction

The sarcoplasmic reticulum (SR) is an intracellular membrane network in cardiac cells that plays an essential role in excitation–contraction coupling. Its main function is the storage and distribution of  $\text{Ca}^{2+}$  ions that initiate cardiac contraction and relaxation. During systole, the action potential facilitates a minor  $\text{Ca}^{2+}$  influx through sarcolemmal L-type  $\text{Ca}^{2+}$  channels. This, in turn, induces a major  $\text{Ca}^{2+}$  release from the SR stores through the  $\text{Ca}^{2+}$  release channel or the ryanodine receptor (1). Subsequently,  $\text{Ca}^{2+}$  binds to troponin C and initiates the cross-bridge movement of the myofilaments. The quick removal of  $\text{Ca}^{2+}$  either into the SR or the extracellular lumen is essential for cardiac relaxation. In human, rabbit, ferret and cat hearts, this  $\text{Ca}^{2+}$  sequestration during diastole is

mainly facilitated by the SR  $\text{Ca}^{2+}$ -ATPase (SERCA) (70–80%) and to a lesser extent by the sarcolemmal  $\text{Na}^+/\text{Ca}^{2+}$  exchanger (20–30%) (2). Other systems, such as the slow  $\text{Ca}^{2+}$  removal systems, ie the sarcolemmal  $\text{Ca}^{2+}$ -ATPase and the mitochondrial  $\text{Ca}^{2+}$  uniporter, play only minor roles in intracellular  $\text{Ca}^{2+}$  extrusion during relaxation. In contrast, in both rat and mouse myocardium, SERCA plays a more predominant role with an approximately 90% contribution to the resequestration of  $\text{Ca}^{2+}$  ( $\text{Na}^+/\text{Ca}^{2+}$  exchanger: ~7% of  $\text{Ca}^{2+}$  resequestration) (2). Upon sarcoplasmic resequestration,  $\text{Ca}^{2+}$  binds mainly to the SR  $\text{Ca}^{2+}$  storage protein, calsequestrin (3) and to other  $\text{Ca}^{2+}$ -binding proteins, such as calreticulin (4) and the histidine-rich-binding protein (5).

The SERCA is under the regulatory control of the phosphoprotein phospholamban, which inhibits the apparent affinity of SERCA for  $\text{Ca}^{2+}$  in its dephosphorylated form. Upon phosphorylation of phospholamban through  $\beta$ -adrenergic stimulation and enhanced cyclic AMP-dependent protein kinase A activity, the inhibitory effect of phospholamban on SERCA is relieved. This leads to increased initial rates of SR  $\text{Ca}^{2+}$  uptake, accelerated relaxation and enhanced SR  $\text{Ca}^{2+}$  load, which is available for release through the ryanodine receptor resulting in enhanced relaxation.

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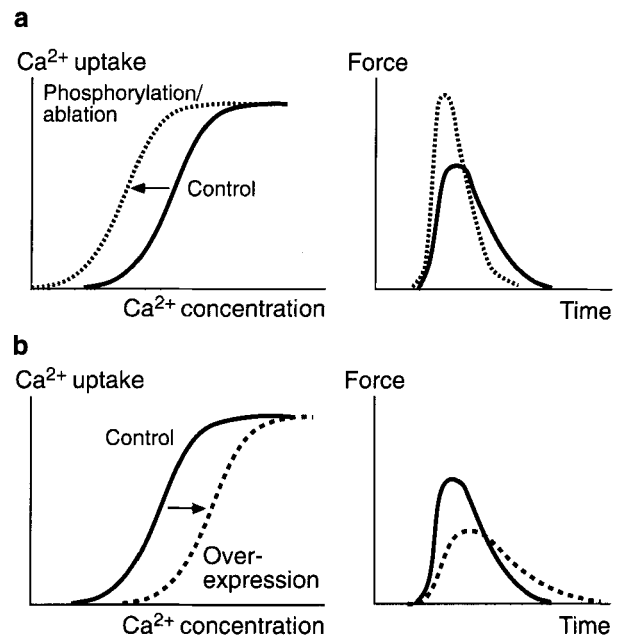
This review summarizes recent advances in our understanding of the modulation of SR  $\text{Ca}^{2+}$  sequestration by phospholamban focusing specifically on 1) the structure of phospholamban and its regulatory effects on SR function; 2) the physiological role of phospholamban as revealed by genetically altered models; 3) the expression and function of phospholamban in human heart failure; and 4) the potential future implications of phospholamban in the treatment of heart failure.

### Structure of phospholamban and its regulatory effects on SR function

Phospholamban is an integral SR membrane protein that contains 52 amino acids. It is expressed mainly in cardiac muscle and, to a lesser extent, in slow-twitch skeletal, smooth muscles and endothelial cells (6–8). *In vitro* studies with purified SR membranes have demonstrated that phospholamban can be phosphorylated at three distinct sites: 1) serine-16 by cAMP-dependent protein kinase A; 2) threonine-17 by  $\text{Ca}^{2+}$  calmodulin-dependent protein kinase; and 3) serine-10 by  $\text{Ca}^{2+}$  phospholipid-dependent protein kinase (9–11). Phosphorylation by each protein kinase increases the apparent affinity of the SERCA for  $\text{Ca}^{2+}$  and, thereby, the rate of  $\text{Ca}^{2+}$  sequestration into the SR (Fig 1a) (12). The inhibitory effects of phospholamban are restored through dephosphorylation by an SR-associated phosphatase (13). During  $\beta$ -adrenergic stimulation, which represents the typical ‘fight-or-flight’ reaction and is one of the main regulatory mechanisms to increase low cardiac output, both serine-16 and threonine-17 become phosphorylated, but the cAMP-dependent phosphorylation site (serine-16) appears to be the most important mediator to enhance cardiac contractility (14, 15). This has also been shown in transgenic and gene-targeted mouse models (16, 17).

Phospholamban is a membrane-spanning protein. Its secondary and tertiary protein structure can be subdivided into two main domains: domain I is hydrophilic and contains the cytoplasmic region and the three phosphorylation sites (amino acids 1–30), whereas domain II includes the hydrophobic SR membrane-spanning part of the protein (amino acid residues 31–52) (18). Domain I contains two further motifs: domain Ia (residues 1–20) presumably forming an  $\alpha$ -helical structure, and domain Ib (residues 21–30), which is likely to exist as a random coil. The transmembrane domain II has also been proposed to have an  $\alpha$ -helical structure (19).

Until recently, little was known about the functional significance of phospholamban in the control of basal contractility; however, this was elucidated by genetic techniques that modified the expression levels



**Figure 1.** Effect of phospholamban phosphorylation and altered stoichiometric ratio of phospholamban to SERCA on SR  $\text{Ca}^{2+}$  uptake and cardiac contractility. (a) Phosphorylation or ablation of phospholamban in murine hearts results in an increased affinity of SERCA for  $\text{Ca}^{2+}$ , which is associated with enhanced peak force of contraction and accelerated relaxation. (b) Over-expression of phospholamban leads to decreased affinity of SERCA for  $\text{Ca}^{2+}$  accompanied by diminished peak force of contraction and prolonged relaxation. SR, sarcoplasmic reticulum; SERCA, SR  $\text{Ca}^{2+}$ -ATPase.

of phospholamban and characterized the functional consequences *in vivo*.

### Physiological role of phospholamban: insights from transgenic and gene-targeted mouse models

The functional role of phospholamban has been elucidated by using transgenic and gene knock-out mouse models. The murine phospholamban gene was ablated by homologous recombination in embryonic stem cells, and phospholamban-deficient mice were generated (20). These mice were indistinguishable from their wild-type controls at the morphological and histological levels. Biochemical studies indicated that the affinity of the SERCA for  $\text{Ca}^{2+}$  was significantly increased in the hearts of phospholamban knock-out mice compared with their wild-type littermates. This finding was consistent with the previously described functional modulation of the SERCA upon phospholamban phosphorylation in native SR membranes (9) and in expression systems (21). Physiological measurements in isolated cardiac myocytes revealed enhanced myocyte mechanics and parallel

increases in amplitude and kinetics of rising and falling phases of  $\text{Ca}^{2+}$  transients (Fig 1a) (22, 23). Furthermore, phospholamban-deficient myocytes exhibited a higher SR  $\text{Ca}^{2+}$  load (24), and the L-type  $\text{Ca}^{2+}$  channel currents were significantly larger (25). The hyperdynamic contractile function, observed in phospholamban-deficient cardiomyocytes, was also apparent at the intact organ level when work-performing heart preparations under identical preload, afterload and heart rate (20) were used, as well as in intact animals in which left ventricular catheter techniques (26) and echocardiography were used (27). Thus, ablation of phospholamban was associated with significant increases in contractile parameters at the cellular, organ and intact animal levels.

Furthermore, isoproterenol stimulation resulted in an attenuated contractile response in isolated myocytes (22), hearts (20) or intact animals (27). Interestingly, the attenuation of  $\beta$ -agonist stimulation was not caused by alterations in the  $\beta$ -adrenergic signalling pathway or the degree of phosphorylation of other key cardiac regulatory phosphoproteins (28). In addition, no compensatory mechanisms were observed at the level of the SERCA, calsequestrin or the myofilaments, as revealed by the myosin ATPase activity and the  $\text{Ca}^{2+}$ -dependent force development in skinned fiber experiments (29, 30). However, the ryanodine receptor levels were decreased (~25%) in an attempt to regulate the release of the increased SR  $\text{Ca}^{2+}$  load (29). These results indicate that phospholamban is a key regulator of basal contractility and a major mediator of the  $\beta$ -agonist responses in the mammalian heart (31).

The phospholamban knock-out studies suggested that the relative stoichiometric ratio of phospholamban to SERCA is a critical determinant of the regulation of the cardiac contraction-relaxation cycle. Thus, in a second approach, the effects of over-expressing phospholamban through transgenesis in murine hearts was investigated by using the cardiac-specific  $\alpha$ -myosin heavy-chain promoter (16). Utilizing this transgenic technique, phospholamban was over-expressed by 2-fold compared with hearts of wild-type mice. Similar to the phospholamban-deficient model, murine hearts from transgenic animals and their wild-type controls were analysed at the molecular, biochemical and physiological levels.

Transgenic mice over-expressing twice as high levels of phospholamban in the heart showed no morphological abnormalities or alterations in heart/body weight compared with their wild-type littermates. The affinity of the SERCA for  $\text{Ca}^{2+}$  was decreased in native SR preparations from transgenic hearts, and thus exhibited properties opposite to those of the phospholamban-deficient SR preparations (20). Compared with wild-type controls isolated cardiomyocytes from transgenic animals showed decreases

in shortening fraction and rates of shortening and relengthening. The amplitude of the  $\text{Ca}^{2+}$  signal was also decreased, and the rate of decay of the  $\text{Ca}^{2+}$  transient in fura-2-loaded transgenic cardiac myocytes was significantly prolonged, which was consistent with the decreased  $\text{Ca}^{2+}$  affinity of SERCA (Fig 1b). These findings were consistent with parameters measuring depressed contractility at the intact organ and whole animal levels. However, the observed differences in contractile parameters,  $\text{Ca}^{2+}$  kinetics and depressed left ventricular function *in vivo* were abolished upon isoproterenol stimulation (16). These results suggest that in native SR, there is a fraction of SERCA molecules that is not subject to regulation by phospholamban.

A third approach studied effects of over-expression of a nonphosphorylatable form of phospholamban, in which the phosphorylation sites serine-16 and threonine-17 were mutated to alanine (32). Several transgenic lines were generated, which expressed phospholamban that was mutated 1.8-, 2.6-, 3.7- and 4.7-fold over wild-type levels. Cardiac SR  $\text{Ca}^{2+}$  uptake experiments of these lines revealed that increasing levels of phospholamban were accompanied by decreases in the apparent affinity for  $\text{Ca}^{2+}$ . Saturation of the phospholamban inhibitory effect on the affinity of the SERCA was achieved at a phospholamban to SERCA ratio of 2.6 to 1, suggesting that only 40% of the SERCA pumps are under the inhibitory regulation of phospholamban in wild-type murine hearts (32).

Based on these studies in genetically engineered phospholamban mouse models, increases in the apparent ratio of phospholamban to SERCA may be at least partially responsible for the depressed kinetics of  $\text{Ca}^{2+}$  transients and contractile parameters in the mammalian heart (33).

### Expression levels and function of phospholamban in human heart failure

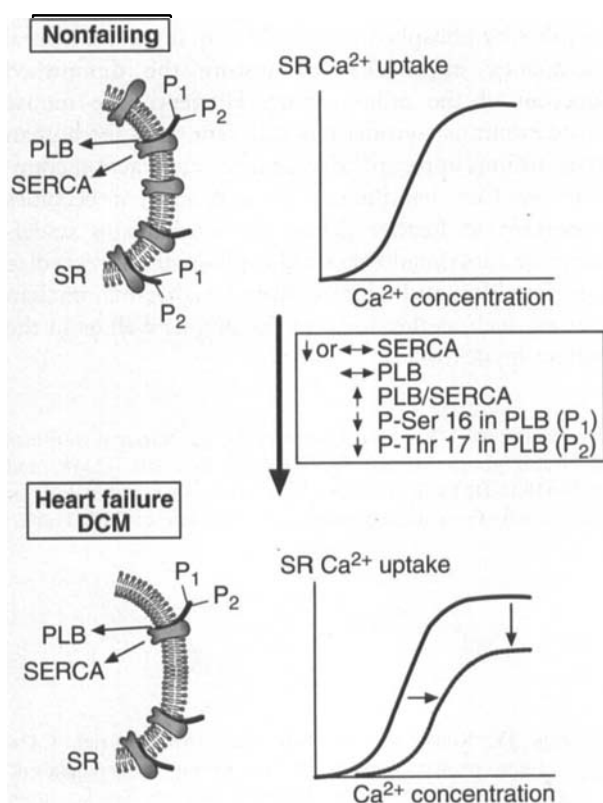
In human heart failure, several lines of evidence suggest that there are alterations in intracellular  $\text{Ca}^{2+}$  homeostasis, which may be related to an altered expression, function or regulation of  $\text{Ca}^{2+}$ -handling proteins. Along these lines, several groups have reported diminished peak and prolonged decay of  $\text{Ca}^{2+}$  transients in cardiomyocytes and papillary muscle strips that have been isolated from hearts of patients with end-stage heart failure (34, 35). These alterations in the rise and fall of the  $\text{Ca}^{2+}$  transient have important implications for excitation-contraction coupling and the development of increased diastolic tension in heart failure. Furthermore, they diminish frequency potentiation, which is one of the potent intrinsic mechanisms inducing cardiac inotropy (36–38).

Figure 2 summarizes alterations in SR function and protein levels as well as in phosphorylation levels of phospholamban in human congestive heart failure. Most studies in human heart failure patients have revealed that the expression of phospholamban remains unchanged compared with nonfailing myocardium (37–39), although one study reported a down-regulation (18%) of phospholamban (40). Based on transgenic and gene-targeted mouse model studies, the phospholamban to SERCA ratio has been shown to be a major regulator of cardiac contractility (32, 33). Thus, any alterations in the expression levels of phospholamban have to be examined in parallel with possible alterations in the expression levels of SERCA, as it is the ratio of phospholamban to SERCA that mainly regulates contractility. Along these lines, it remains controversial whether SERCA expression changes in human heart failure. Several groups have reported a down-regulation of the SERCA protein (36, 40), while others have not observed any significant changes in SERCA expression in end-stage failing human myocardium (37–39, 41). A decrease in the

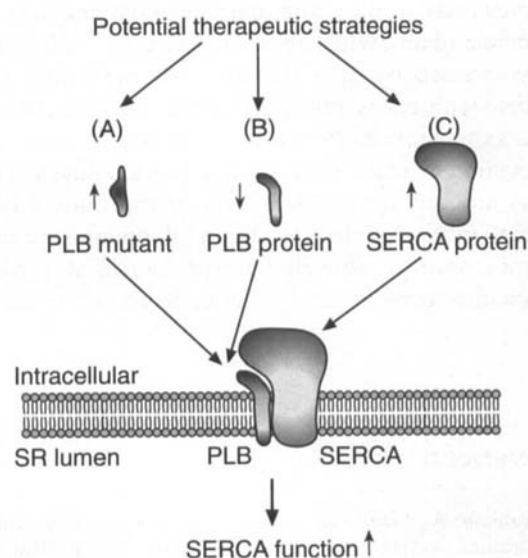
expression levels of SERCA may account for increased ratio of phospholamban to SERCA and, thus, increased inhibition of the affinity of SERCA and prolonged relaxation. In addition to possible changes in the protein levels of SERCA, the phosphorylation status of phospholamban at serine-16 or threonine-17 sites has been shown to decrease in human heart failure. Phosphorylation at the serine-16 site exhibited a 30% decrease (42), and similar changes were observed for the threonine-17 site (43). The decreases in serine-16 phosphorylation levels may reflect decreased expression of  $\beta$ -receptors (44), increased expression of  $G_i$ -protein levels (45) and increased  $\beta$ -AR-kinase-1 activity (46), which represent downstream alterations in the  $\beta$ -adrenergic signalling pathway. In light of these distinct changes in the expression and phosphorylation of SR  $Ca^{2+}$ -handling proteins, a disruption or alteration of the phospholamban–SERCA complex may be a target for therapeutic approaches.

### Potential implications of the phospholamban–SERCA complex in the treatment of heart failure

As outlined above, heart failure is associated with distinct changes in intracellular  $Ca^{2+}$  handling. Muscle relaxation and rapid removal of cytosolic  $Ca^{2+}$  by



**Figure 2.** Schematic representation of alterations in SERCA and phospholamban (PLB) in human heart failure (dilated cardiomyopathy, DCM). Although expression levels of PLB are unchanged, the increased phospholamban to SERCA ratio and decreased phosphorylation at serine-16 (P-Ser-16) and threonine-17 (P-Thr-17) sites of phospholamban are associated with impairment of SR function in human heart failure. SERCA, sarcoplasmic reticulum  $Ca^{2+}$ -ATPase, SR, sarcoplasmic reticulum.



**Figure 3.** Schematic representation of different approaches to increase sarcoplasmic reticulum (SR) function through modulation of the activity of phospholamban (PLB) or the PLB to SERCA ratio. The function of the PLB–SERCA complex may be regulated either through: A) over-expression of a dominant-negative mutant of PLB, that replaces native PLB; B) down-regulation of PLB transcription/translation; or C) up-regulation of SERCA protein expression. SERCA, sarcoplasmic reticulum  $Ca^{2+}$ -ATPase.

*Based on the findings in genetically altered animal models and human heart failure, disruption or alteration of the phospholamban-SERCA complex may be a target for therapeutic approaches.*

SERCA are impaired, resulting in increased diastolic calcium concentration. Consequently, the SR  $\text{Ca}^{2+}$  load decreases, and less  $\text{Ca}^{2+}$  is available for subsequent contractions. Thus, enhanced SR reuptake either through stimulation of the pump itself or dissociation of the phospholamban-SERCA complex may represent a potential therapeutic target to partially restore the perturbed intracellular  $\text{Ca}^{2+}$  handling in heart failure. Several approaches in animal models and human cardiomyocytes have followed this working hypothesis (Fig 3). Hajjar and co-workers used recombinant adenovirus-mediated gene transfer to increase the expression of SERCA in aortic-banded rats (49) or in isolated cardiomyocytes derived either from rat (47) or human failing hearts (48). These studies support the notion that an enhanced expression of SERCA restores disturbed intracellular  $\text{Ca}^{2+}$  handling by decreasing the relative ratio of phospholamban to SERCA. Other potential approaches to influence the phospholamban-SERCA complex may utilize dominant-negative mutants of phospholamban. When these mutants are sufficiently over-expressed by gene transfer techniques they can compete with native phospholamban. Derived from *in vitro* expression systems, this approach was first demonstrated in the context of a heart failure mouse model induced by gene ablation of the muscle LIM protein (50). In this study, a dominant-negative mutant form of phospholamban increased cardiac contractility even beyond baseline levels, observed in

wild-type hearts. Recently, another approach to decrease the protein levels of phospholamban and thereby increase contractility was reported that used adenovirus-mediated gene transfer of phospholamban antisense cDNA in cultured neonatal rat cardiomyocytes (51). With this technology, a 70% reduction in phospholamban protein levels was accomplished in cultured neonatal rat cardiomyocytes after 72 h, and this was accompanied by increased rates of  $\text{Ca}^{2+}$  decay (51). Further studies will determine whether any of these approaches to modulate the phospholamban-SERCA complex may be included as a valuable therapeutic option in the treatment of heart failure.

## Conclusion

Significant advances have been made in describing the functional role of phospholamban in cardiac contractility of the mammalian heart. This has been achieved through the generation and characterization of transgenic and gene knock-out mouse models with integrative approaches. The findings in the mouse may provide valuable insight into the mechanisms underlying the regulation of human cardiac contractility by phospholamban and may suggest potential therapeutic approaches to restore the diminished function of the failing heart. However, the mouse myocardium is considerably different from the human myocardium in regard to excitation-contraction coupling and  $\text{Ca}^{2+}$  handling. In that respect, it becomes important to further define the mechanisms underlying the functional role of phospholamban in cardiac  $\text{Ca}^{2+}$  handling and contractility in higher mammalian species, such as the dog and the pig, as well as in the human myocardium.

The present work has been supported by the National Institutes of Health grants HL26057, HL64018, P40 RR 12358, and HL52318 to Dr EG Kranias and by a research fellowship grant of the Deutsche Forschungsgemeinschaft (FR/1466) to Dr K Frank.

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