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ORIGINAL RESEARCH

Does a History of Exercise in COPD Patients Affect Functional Status? A Study Using a Lifetime Physical Activity Questionnaire Investigates a Correlation between Exercise and Functional Status as Evidenced by Six-Minute Walk Distance

Peter Weiyen Chao, Joseph Ramsdell, Marian Renvall, and Chirag Vora

Recent studies have shown strong associations between chronic exercise and improved spirometric values. Building on these findings, we investigated whether habitual lifetime exercise influences six-minute walk test performance (6MWT) in subjects with at least 10 pack-years smoking history. The 6MWT was chosen for its correlation with performance on activities of daily living and predictive value for inactivity, morbidity and mortality in individuals with chronic obstructive pulmonary disease (COPD) versus spirometric values, which are less adept at predicting functional status. Because COPD is a global cause of disability, therapeutic measures that delay symptom-induced immobility are more cost-effective versus late-stage interventional therapies. Among 49 subjects, we compared lifetime exercise assessed with a validated physical activity questionnaire with six-minute walk distances (6MWD). The American College of Sports Medicine's (ACSM) recommended exercise levels (30 minutes/day, 1000 kcal/week) were used as a benchmark. Among subjects with spirometry-determined lung obstruction (n = 21), those who have not met at least half of ACSM guidelines (500 kcal/week) were classified as "Inactive," while others were "Active." A significant difference in 6MWD was found between Inactive and Active subjects: (1123.86ft vs. 1468.25ft, STDev = 210.07 vs. 240.25, p = 0.0045). This difference was not found in subjects without lung obstruction. Pack-years was a significant covariate: subjects who smoked less walked farther distances. No relation was found between exercise and predicted $FEV_1\%$. In summary, our case-control study suggests that meeting even half of ACSM exercise guidelines could improve functional status in smokers if habitual exercise is adopted early in life.

Keywords: Chronic obstructive pulmonary disease, Lifetime exercise, Spirometry, FEV₁, Functional status, Health-related quality of life, Six-minute walk test, Six-minute walk distance.

INTRODUCTION

Chronic Obstructive Pulmonary Disease (COPD) is a debilitating airway disorder currently ranked as the fourth-leading cause of death in the United States, and is predicted by the World Health Organization to become the fourth-leading cause of death worldwide by 2030 (1, 2). Between 5–10% of the U.S. population are affected by COPD, with increasing prevalence among women (3).

Although the manifestations of COPD vary, the most common are reduced airflow from lung obstruction, parenchymal destruction (emphysema), chronic lung and/or systemic inflammation, reduced exercise capacity and a sense of breathlessness (dyspnea) (4). Lung airflow is limited by obstructive fibrosis, while emphysema reduces airway patency and elastic recoil, culminating in air trapping, progressive airflow limitation and dyspnea upon exertion (5). Several co-morbidities may also accompany COPD, such as cardiovascular disease (6), muscle wasting (7), osteoporosis (8), anxiety/depression (9) and anemia (10), and can be present during the disease's early stages (Global Initiative for Chronic Obstructive Lung Disease Stage I or more) (11).

Collectively, the clinical features and co-morbidities of COPD contribute toward gradual deconditioning that results in severe disability. As patients suffer increasing dyspnea, physical exertion is discouraged and inactivity results in muscle atrophy and loss of lean body mass (12), which cyclically worsens dyspnea and further discourages exertion. In late stages, this cycle severely limits the ability to perform activities of daily living (ADL), which reduces overall functional status, psychosocial well-being, and Health Related Quality of Life (HQRL) (13). Because COPD is projected to become the fifth global cause of disability by 2020, efforts to counteract COPD symptom-induced deconditioning are crucial for public health.

An interventional program for COPD-associated deconditioning is pulmonary rehabilitation (PR), which is multidisciplinary and incorporates multiple modalities. Among these are individualized physical training, dietary intervention, self-management education and psychological support (14). Although PR programs vary, most target the cyclical dyspnea-muscle wasting of COPD through exercise, with reported improvements in patient exercise tolerance, perceived dyspnea and functional status (15–18).

Despite its success, PR remains primarily palliative in nature and is unable to reverse the relentless progression of COPD. In addition, the multicausal nature of COPD and its diverse systemic influences render pharmacological attempts at reversing disease progress implausible, particularly when most drugs improve spirometric values that correlate poorly with functional status. Therefore, greater attention has been devoted to prophylactic measures through the health benefits of recreational exercise. In light of PR's success and of the demonstrated benefits of chronic physical activity (19-22), researchers have proposed exercise as potential measure of affecting COPD development. The high costs of palliative care and exacerbation-induced hospitalizations in later COPD stages (23) underscore the value of early prevention, since symptom-induced inactivity begins early in the course of the disease (24).

Recreational exercise is proposed to slow COPD deconditioning through several mechanisms, primarily via an anti-inflammatory effect. Because the disease involves a high state of lung inflammation (25, 26), which often "spills over" (27) into systemic circulation, the reported anti-inflammatory effects (28) of moderate exercise could provide a counteractive force (29). New research highlights the role of skeletal muscle as an endocrine organ producing a mixture of cytokines that cumulatively exert anti-inflammatory effects (30, 31).

Combined with a reduction in adipose tissue, which has been to shown to secrete pro-inflammatory mediators (32), an increase in skeletal muscle mass and accompanying chronic anti-inflammatory cytokine release may provide benefits when performed over many years. Improvements in pulmonary vasculature, respiratory muscle mass (and reduced breathing effort), breathing efficiency (33) and psychosocial well-being (34) are also possible contributors.

As a starting point for comparing performed physical exercise versus disease severity, researchers relied on the forced expiratory volume in 1 second (FEV $_1$). The FEV $_1$ has been in use for several years as a marker for COPD progression, and has proven reliability as a predictor for morbidity and mortality (35). Moreover, a reduction in spirometry-determined lung function is a risk factor for impaired health status (36), cardiovascular disease, stroke and lung cancer (37, 38). The FEV $_1$ and Forced Vital Capacity (FVC) provide a simplified staging of disease progression that is reproducible and standardized (39).

In 2002, Jakes et al examined a population of 12,283 men and women aged 45–74 years for an association between physical activity and changes in FEV_1 (40). Exercise histories were procured through questionnaires, with three levels of physical activity considered: [1] vigorous physical recreational activity, [2] stair climbing and [3] television viewing. Overall, the study found a negative association between television viewing and FEV_1 in males and females, and a positive association of stair climbing and vigorous recreational activity with FEV_1 in both sexes, after adjusting for confounders such as age, height and smoking.

Another study by Garcia-Aymerich and associates using a population-based sample (n=6,790) compared FEV₁ and FVC decline rates between physically active and sedentary

smokers for 11 years, and found that smokers with moderate to high physical activity had reduced lung function loss versus those who were sedentary after adjusting for confounders (41). The study also found a dose-based effect in active smokers, with greater activity associated with less lung function loss or COPD incidence. Together, these findings demonstrate a strong association between exercise and improved lung function, including resilience against COPD onset.

To supplement these findings, we performed an analysis of exercise history versus a validated complement to spirometry: the 6-minute walk test (6MWT) (42). As a submaximal field test (versus laboratory-based), the 6MWT resembles physical exertion in real life and is validated with respect to several physiologic and quality of life measurements (43). A recent study by Pitta et al. using triaxial accelerometers found a strong correlation between 6MWD and several physiologic surrogates of physical activity, including quadriceps force, movement intensity and handgrip force (13). In contrast, the FEV₁ does not correlate well with functional status (44, 45).

Once FEV_1 values fall below 35% predicted, large variability exists for exercise capacity (46, 47); some subjects are able to live an active lifestyle while others cannot (24). Clinical outcomes such as dyspnea (48) and health status (49) also do not correlate well with spirometry, likely due to the exam's artificial nature (50). Furthermore, there is substantial variability in 6MWD among spirometry-classified severe COPD subjects, underscoring the dissociation between lung function and actual health status.

This is not surprising, since emerging evidence suggests dyspnea to depend largely on inspiratory airflow limitation versus expiratory (51, 52). For an assessment with greater relevance to clinical outcomes, the 6-minute walk is a logical step. Therefore, we investigated the relationship between chronic lifetime exercise and 6MWD in subjects with at least 10 pack-years smoking history using a reproducible historical physical activity questionnaire (HPAQ) (53).

METHODS

Subject recruitment

Institutional Review Board approval from the University of California, San Diego (UCSD) was obtained for work with human study subjects. We performed a convenience sample of a study called COPDGene® at the UCSD Hillcrest Clinical Trials Center. Inclusion criteria for the parent study included race of African-American or Caucasian, age 45 years or older and evidence of at least 10 pack-years of smoking. A diagnosis of COPD was not required. After recruitment, subjects were anonymized with code numbers for privacy protection. Please refer to Supplement 1 for full Inclusion/Exclusion criteria.

Subject classification

Post-albuterol sulfate (Horsham, PA, USA) spirometry was performed to categorize subjects into two groups: Withlung-obstruction (GOLD) and Normal (NM). GOLD subjects had Global Initiative for Chronic Obstructive Lung

Disease (GOLD)-classified COPD Stage I ("Mild COPD," $FEV_1 \ge 80\%$ of predicted, $FEV_1/FVC < 0.70$) or worse, while NM subjects were GOLD-classified as normal or Stage 0. Please refer to Supplement 2 for spirometry protocols.

Historical Physical Activity Questionnaire

The exercise questionnaire asked subjects to report how many average hours per week that were spent for diverse physical activities. The metabolic equivalent of task values (54) (MET) for these activities were assessed and multiplied by the reported time expenditures and current body weight to yield average kilocalorie expenditures per week, per life segment, starting from the age range of [a] 14–21, [b] 22–34, [c] 35–50, and [d] 50+. The values were then plotted for each subject (Age Range x Kcal), and the Area Under Curve was calculated to yield a lifetime activity score (LAS). This score was later compared with 6MWD.

Six-minute walk test

The test was performed in a 30 m hallway at least 30 minutes after bronchodilator administration for spirometry. No encouragement was given for any subject, and all performed (to our knowledge) the test either for the first time, or after a few months have lapsed from a prior 6MWT (lessening the likelihood of a "learning effect"). Oxygen saturation with a pulse-oximeter was determined every 120 m, as well as a subject self-assessment (e.g., dyspnea, back or joint pain).

Analysis

The main outcome for this study was 6MWD and the effect of the LAS. For comparison, a score of 3,000 equates to an average weekly kcal expenditure of 1,000 kcal, which is the minimum amount of exercise recommended by the American College of Sports Medicine (55) (ACSM) for health maintenance (30 min of moderate exercise per day). Covariates of gender, BMI and pack-years were examined. Descriptive data was calculated as mean and standard deviations for continuous data and percent for categorical data. Student t-tests were analyzed by evidence of lung obstruction or no lung obstruction. Correlations were run by lung disease status and lifetime activity. Analysis of variance with covariates (smoking, gender, BMI) were calculated using variables with correlations < 0.20. Statistical analyses were performed using Systat software on a Windows $^{\mathbb{R}}$ -based operating system.

RESULTS

Forty-nine subjects between December 2009 and May 2010 agreed to participate. Subjects had an average age of 56 ± 8 years, were predominately males (n = 30, 61%), and had histories of 41 ± 16 pack-years, with 39 (80%) still smoking actively. Initially, all 49 participants were divided into two groups according to LAS: (A) LAS < 3,000; (B) LAS \geq 3,000. Although average values for FEV₁ and 6MWD were higher for B than for A, no statistical significance was found due to high standard deviations (1314.5ft_A vs 1397.18ft_B; FEV₁ = $73.75\%_A$ vs $81.55\%_B$; $n_A = 20$, $n_B = 29$).

Next, all subjects were divided into GOLD and NM groups, according to spirometry performance. Within these groups, the subgroups A and B were formed according LAS, therefore determining four groups:

- (1) NM classified by spirometry as without lung obstruction
 - (a) NM-A: LAS < 3,000
 - (b) NM-B: LAS \geq 3,000
- (2) GOLD GOLD Stage 1 or worse
 - (a) GOLD-A: LAS < 3,000
 - (b) GOLD-B: LAS > 3,000

A comparison of FEV₁, 6MWD, BMI and pack-years was performed among NM subjects between subgroups A and B (NM-A vs NM-B), and found no significant differences. However, the same comparison was performed among GOLD subjects between A and B (GOLD-A vs GOLD-B), and a notable (but not quite statistically significant) difference was found between average walk-distances: 1253.90 ft_A vs 1462.95 ft_B; StDev = 305.82 ft_A vs 211.98 ft_B; $n_A = 11$, $n_B = 10$; p = 0.0875. No relation was determined for FEV₁ due to high standard deviations, although the average for A was lower than B (55.73% vs 63.8% predicted; p = 0.3964). Packyears was a significant covariate among all GOLD subjects: those with lower pack-years performed better (p < 0.03).

We then observed a natural split at the LAS of 1,500: subjects above 1,500 performed significantly more exercise while those below this level had much lower scores (1,834.5 or higher; 1,197.13 or lower; median = 1,515.82). Splitting GOLD subjects at 1,500, we reformed GOLD-A and GOLD-B and reanalyzed 6MWD, finding a significant difference: 1123.85 ft_A vs 1468.25 ft_B; StDev = 210.07 ft_A vs 240.25 ft_B; $n_A = 7$, $n_B = 14$; p = 0.0045. Again, no relation was determined for FEV₁ due to high standard deviations, although the average of A was lower than B (53.85% vs 62.43% predicted; p = 0.3953).

Finally, a comparison of 6MWD, FEV₁, LAS, pack-years and BMI was performed between NM and GOLD subjects, finding no statistical difference. There was no relation between FEV₁ and LAS across all categories. However, we did find a correlation between lower LAS and lower pack-years among NM subjects. NM subjects who exercised less than 1,000 kcal/wk (A) smoked less versus their more active peers (B) (29.29 pkyrs_A vs 45.79 pkyrs_B; StDev = 15.58 pkyrs_A vs 19.11 pkyrs_B; $n_A = 9$, $n_B = 19$; p = 0.0328). This was not observed among GOLD subjects. The association was even more pronounced among smokers who exceeded ACSM-recommendations by 3 times (B), due to organized sports or personal training habits (33.44 pkyrs_A vs 53.15 pkyrs_B; StDev = 16.221 pkyrs_A vs 18.89 pkyrs_B; $n_A = 18$, $n_B = 10$; p = 0.0074).

DISCUSSION

Our findings show a significant link between inactivity and lower 6MWD in subjects who are GOLD Stage 1 or worse. This link first becomes noticeable when average weekly exercise falls below the ACSM-recommended expenditure of

1,000 kcals, and becomes substantial when weekly exercise falls below half this amount. Indeed, the 7 subjects who exercised less than 500 kcals a week actually expended an average of 260 kcal per week. Four had walk-distances less than 350 m, which places them at greater risk for acuteexacerbation induced hospitalization and a worse prognostic index (56). The remaining three averaged a walkdistance of less than 400 m, which is an indicator of a daily walking time of less than 30 min and general inactivity (13).

Although this link between inactivity and lower 6MWD was not as statistically significant among subjects who exercised 1,000 kcal/wk or more, there was still a clear difference: those who exercised less than 1,000 kcal/wk averaged a walk-distance of 1253.90 ft vs 1462.95 ft (p = 0.0875). This suggests a dose-based effect of exercise on functional status among subjects with spirometry-diagnosed lung obstruction, i.e., those who have met ACSM physical activity guidelines throughout their lives, despite chronic smoking, are less likely to suffer COPD-symptom induced

deconditioning versus peers who have not met guidelines, regardless of lung function. Recall that there was no relation between FEV1 and LAS nor 6MWD across all categories of subjects. Even in our comparison of 6MWD between GOLD Stage 3 subjects (A) and all remaining participants (B), we found no statistically significant difference (1178.80 ft_A vs 1384.41 ft_B ; StDev = $366.93 \text{ ft}_A \text{ vs } 253.72 \text{ ft}_B$; $n_A = 5$, $n_B = 44$; p = 0.1071).

We did not find decreased walk-distances in NM subjects with low lifetime activity scores, no matter how inactive they had been throughout their lives. There was no correlation between LAS and 6MWD, FEV1 nor BMI. Curiously, we did find a correlation between higher LAS and higher pack-years in NM subjects only. Those who were vigorously active (B) by exercising an average of 3,000 kcal/wk smoked significantly more than those who were not (A); (33.44 pkyrs_A vs 53.15 pkyrs_B; StDev = 16.221 pkyrs_A vs 18.89 pkyrs_B; $n_A = 18$, n_B = 10; p = 0.0074). This finding poses many questions. So far, we can only account for this observation by suggesting that rigorous physical activity prompts stress relief through

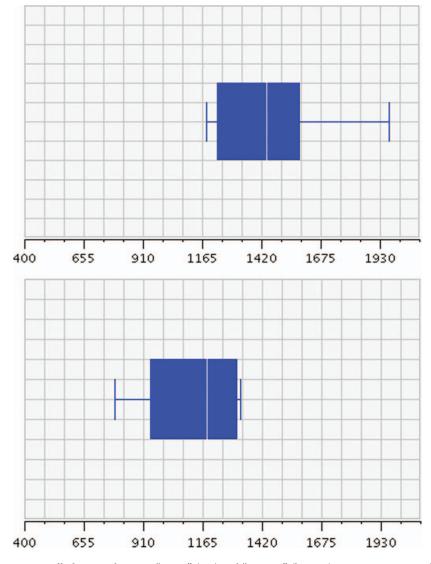


Figure 1. Comparison of 6-minute walk distances between "Active" (top) and "Inactive" (bottom) groups. Inactives walked an average of 1123.85 ft, versus 1468.25 ft for Actives.

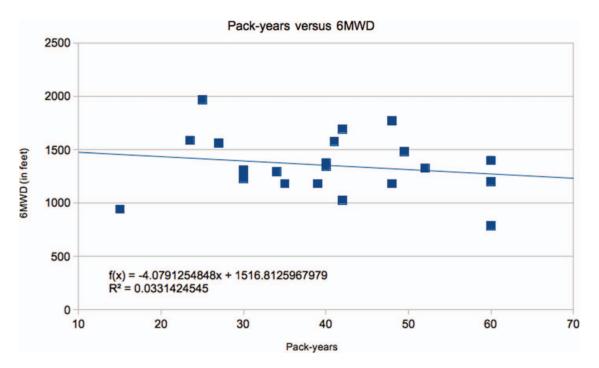


Figure 2. Pack-years versus 6MWD. Those with lower pack-years had higher 6 minute walk distances (p < 0.03).

smoking. However, this does not account for why this association was not found among GOLD subjects.

We are also unable to explain by there was no association between LAS and FEV₁. We cannot account for why some subjects who led highly active lifestyles succumbed to lung function loss while others did not. In addition, the link between chronic exercise and better 6MWD was only found among subjects who were GOLD-Stage 1 or worse, and not among NM subjects. This finding underscores the complex pathogenesis of COPD and the need for further research of its diverse etiologies. However, the possibility for exercise to have provided an anti-inflammatory effect against systemic inflammation, and therefore affected COPD onset, should be considered.

Several limitations of the study should be noted. First, our results do not support the findings of Jake and Garcia-Aymerich; namely, that prolonged, chronic exercise improves lung function as determined by spirometry. Many factors may account for this discrepancy, particularly our limited sample size. Because the study was an unfunded convenience sample, study subjects were less easy to recruit and could only contribute a limited amount of time towards our study. In contrast, the research of Jakes and Garcia-Aymerich involved large and extensive analyses of thousands of study subjects; therefore, while our study does not support the idea of exercise affecting lung function, it in no way negates their findings. We feel that our best conclusion regarding exercise and lung function is that more research is needed via a greater sample size.

Second, many limitations are intrinsic to the nature of questionnaires. One is the problem of memory bias, especially when recalling events from several decades ago. Studies on questionnaire-related memory bias show conflicting evidence, with some indicating an overall lack of reliability and validity while other long-term studies show otherwise (57). Blair et al. tested the Minnesota Leisure Time Physical Activity survey in 500 subjects by comparing baseline answers of activity performed the prior year with those procured 1-10 years later (asking of same year prior to the study), and found modest correlation coefficients (0.20 to 0.50) regardless of elapsed time between assessments (58). In addition, percent agreement between baseline reported physical activity and later reassessed activity ranged between 60 to 75%. However, participants of the Blair study recalled historical physical activity from a maximum of 10 years prior, not decades ago. Literature evidence suggests memory bias to be a notable problem when recalling events as early as 3 months ago (59), while others highlight the integrity of long-term memory in advanced age (60).

Moreover, our study used an abridged version of the interview-administered HLAQ that was changed in two main areas. First, the original HLAQ recommended a training session and "take-home" work to refresh memories of past exercise events, which could cumulatively require 2–3 hours of involvement. Because our study was unfunded, we deemed it unlikely to retain volunteer subjects' attention spans for more than a half hour; therefore, our abridged version was made

Table 1. Demographics and outcome variables for those with COPD N=21

6-min walk	1353 ± 280
Active	N = 14 (66.7%)
Pack-years	40 ± 13
Age	57 ± 9
BMI	28 ± 6
Male Gender	10 (48%)

Table 2. Comparison of 6MWD between Active and Inactive subjects with lung obstruction

Group	N	Mean	Std. Error	Std. Dev.	95% Confidence Interval	
Inactive	7	1123.85	79.4	210.07	929.57	1318.14
Active	14	1468.25	64.21	240.25	1329.53	1606.97
Combined	21	1353.45	61.11	265.93	1225.97	1480.93

keeping the core mechanics of the HLAQ (i.e., MET and kcal calculations) while forgoing lifetime exercise questions in specific detail. Second, because literature evidence suggests memory recall of past "vigorous" exercise events to be the most reproducible (61), we replaced the original "checklist" of activities (that subjects checked off to obtain MET values) with a blank sheet, asking subjects to recall only events that were most meaningful to them.

This was performed to reduce the risk of exaggeration by subjects checking off frivolous activities. Chasan-Taber et al. has tested the reproducibility of Kriska's HLAQ among 134 women with a one-year reassessment interval, and found intraclass correlation coefficients of 0.82 for total lifetime activity, and values between 0.78 to 0.87 for differing intensity lifetime activities. For age-interval correlations, the study reported 0.73 for total activities in the age of menarche to 21, 0.70 for 22-34, 0.78 for 35-50, and 0.83 for ages 51-65 (53). Overall, the study suggests the HLAQ to be reliable; therefore, we were comfortable abridging and using the HLAQ based on literature evidence for the higher reliability of simpler questionnaires versus complex versions (62, 63). However, we advise follow-up study testing for the reproducibility of our abridged HLAQ, in addition to further investigation of our results considering lifetime activity and 6MWD.

Other intrinsic limitations concern standard MET values and cultural factors influencing self-reported physical activity. Most compendia of MET values include energy expenditures of activities for young adults in standardized environments, and do not account for physiological differences (age, fitness level) between individuals (64). Cultural influences also skew reported results, such as the desirability of reporting recreational sports versus sedentary activities (59, 65). Another problem is overreporting/exaggerating, particularly of sporting events where subjects experience interspersed "downtime" (e.g., socializing, sitting out) (66). All of these are inherent problems to memory recall studies, and their hindrance of accurate historical activity assessments should be noted. Although our study attempted to procure lifetime activity levels, our aim was not for great accuracy but rather for generalized caloric expenditures to rank respondents for comparative purposes.

Overall, we believe the present study supports our hypothesis that lifetime chronic exercise improves functional status in subjects with smoking-induced lung obstruction. Furthermore, daily exercise need not be substantial but only 30 min/day, as recommended by the ACSM. A useful instrument for procuring lifetime exercise histories is the HLAQ that was modified for use in our study. In contrast to the original questionnaire, which required a training period of a few hours and "take-home" work for recalling lifetime exercise, ours only required an interview lasting 15-30 min, yet yielded useful results. In addition to the original HPAQ, this abridged version can be useful for other applications such as heart disease and diabetes, is easily administered, and can be calculated with spreadsheet software. We support our abridged version in future research for validation purposes, as well as the original HLAQ.

In conclusion, our study does show a notable link between chronic exercise and improved 6MWD, which correlates well with HRQL. A significant implication is that pulmonary rehabilitation should not only intervene for late-stage COPD, but as early GOLD Stage 0, i.e., the "at-risk" stage. We feel that a PR program for boosting daily physical activity should begin as soon as possible once COPD is suspected, for the cost of a simple, daily health maintenance program should pale in comparison to an acute-exacerbation hospitalization. In addition, the many benefits to cardiovascular health and mental wellness of exercise will save in the long term over the considerable costs of late-stage medical intervention. Overall, we recommend that more research be devoted to prophylactic exercise therapy for COPD patients as early as GOLD Stage-0.

DECLARATION OF INTEREST

The authors report no conflicts of interest. The authors alone are responsible for the content and writing of the paper.

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