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Anders Holmen Møller, Louise Hansen, Morten Sall Jensen & Lars Holger Ehlers

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Original article

A cost-effectiveness analysis of reducing ventilator-associated pneumonia at a Danish ICU with ventilator bundle

Anders Holmen Møller
Louise Hansen
Morten Sall Jensen

Department of Health Science and Technology,
Aalborg University, Denmark

Lars Holger Ehlers

Department of Business and Management, Aalborg
University, Denmark

Address for correspondence:

Louise Hansen, Aalborg University – Health Science
and Technology, Fredrik Bajers Vej 7 Aalborg 9220,
Denmark.

Tel.: +4527646037; Fax: +45 98153505;
lhansen07@student.aau.dk

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Abstract

Background:

More than 100,000 patients each year in Denmark experience nosocomial infections, erroneous medication, or pressure ulcers while hospitalized. The Danish Safer Hospital Program includes 12 bundles for improving patient safety through the introduction and maintenance of evidence-based routine treatment or standard procedures.

Objective:

To determine cost-effectiveness of implementing the Ventilator bundle (VB), thereby reducing ventilator-associated pneumonia (VAP), when treating a ventilated patient, compared to standard procedure.

Setting and patients:

A hypothetical population of intensive care patients in a Danish ICU, ventilated for >48 h.

Methods:

Cost-effectiveness analysis of the implementation of VB. The outcomes were prevention of VAP and prevention of death. Model inputs were evidence based from literature along with data from Kolding Hospital. A hypothetical population of intensive care patients in a Danish ICU, ventilated for >48 h was used.

Results:

The cost per VAP episode prevented was ~€4451, and cost per death prevented was ~€31,792. The incremental cost-effectiveness scatter plot showed that VB was more effective in 99.9%, and 42.6% have lower cost and better outcome for prevention of VAP. The incremental cost-effectiveness scatter plot showed that VB was more effective in 85.9%, and 31.6% have lower cost and better outcome for death prevented.

Limitations:

The study was a retrospective cost analysis where incidence rates were based on best evidence, even if it did not cover all elements in the VB. The perspective of this study was seen from a third-party payer, e.g., the hospital, thus societal costs and direct medical costs post-hospitalization for patients with VAP were not considered.

Conclusion:

We found that implementation of VB is potentially cost-effective when considering prevention of one case of VAP or death, based on a Danish ICU as a case study.

Introduction

Despite increased focus on patient safety, ~100,000 patients each year in Denmark experience nosocomial infections, erroneous medication, or pressure ulcers while hospitalized¹. Inadvertent conditions are costly for hospitals and the society, additionally influence the patient's quality-of-life, and may in severe cases be fatal. In order to prevent these inadvertent conditions, five Danish hospitals are participating in the Danish Safer Hospital Program, which includes implementation and evaluation of 12 treatment guidelines, known as bundles, focusing on improving patient safety through the introduction and maintenance of evidence-based routine treatment or standard procedures, e.g. the AMI-, Septic-, or Ventilator bundle. This article will focus on the cost-effectiveness of the Ventilator bundle (VB), a guideline developed to decrease nosocomial infections and time spent in a mechanical ventilator for patients requiring mechanical ventilation admitted to an ICU¹.

Patients suffering from a disease making them dependent on mechanical ventilation are at risk of developing Ventilator-Associated Pneumonia (VAP), as a consequence of treatment and not the primary reason for their hospitalization. VAP is defined as a newly-developed infection of the lungs after >48 h of mechanical ventilation and with a Clinical Pulmonary Infection Score >6². VAP has been shown to prolong the duration of mechanical ventilation, length of stay (LOS) in intensive care unit (ICU), and increased risk of mortality^{3,4}.

The Danish VB contains five elements, which are not included in standard procedure of VAP:

- *Elevation of the head* of the bed between 30–45° has been shown to significantly reduce the risk of developing VAP by decreasing the aspiration of secretions^{5,6}.
- *Sedation protocol*, designed to minimize the time a patient is mechanically ventilated and to reduce complications, which limits the risk of developing VAP by daily interrupting the sedation of the ventilated patient^{7,8}.
- *Extubation protocol*, with the intent to wean the patient from mechanical ventilation as early as possible by extubating the patient, which in studies has been shown to shorten the time spent receiving mechanical ventilation and thereby the total LOS in the ICU^{9,10}.
- *Oral decontamination* using antiseptics has been reported in several studies to reduce the risk of developing VAP by limiting hostile bacteria in the upper airways^{11–15}.
- *Deep venous thrombosis (DVT) prophylaxis* seeks to prevent peripheral thrombosis in ventilated patients⁶.

The studies mentioned above have demonstrated how the risk of developing VAP can be reduced with implementation of one of the different elements of VB in the ICU^{5–15}. However, there are only limited investigations of

the health economic potential of these five elements. No previous studies have calculated the cost-effectiveness of implementing all five elements in the VB in an ICU. Estimating the cost-effectiveness of implementing the Danish VB will allow a comparison to the existing standard procedure relevant for treating ventilated patients. This can further be used in a decision model to evaluate whether it is recommendable to implement VB at the ICU, given a fixed set of variables. We hypothesize that, despite a possible higher direct cost, it might be cost-effective to implement the VB when treating a ventilated patient, compared to standard procedure, as there may be cost-savings associated with the prevention of VAP. To investigate this, we have constructed a decision model and a cost-effectiveness analysis, to compare the costs and potential effects of implementing the VB compared to the standard procedure. The analysis will be based on best international evidence combined with direct costs from Kolding Hospital.

Methods

A decision analytic model, a cohort model, was constructed to assess the potential effects and costs of implementing VB compared to the standard procedure, see Figure 1. An incremental cost-effectiveness ratio per VAP prevented and death prevented was estimated using TreeAge (Pro 2010; TreeAge Software, Williamstown, MA). Model inputs were taken from the literature as well as local data from Kolding Hospital^{16–18}.

Systematic literature search

We performed a systematic literature search in PubMed (including MEDLINE) and Cochrane Library, to determine the best available evidence for evaluation of the potential of introducing VB. The following keywords: ventilator associated pneumonia, oral decontamination, oral care, ventilator weaning, supine position, extubation, mortality, incidence rate, risk factors, length of stay, were used, along with various combinations of these keywords. The end date of search was May 25, 2011 and it was conducted by all authors. We chose to only include studies from the last 10 years, as treatment protocol and intensive care has developed rapidly during the last two decades. To identify incidence rates for VAP before and after implementation of intervention, average length of stay in ICU, prolonged length of stay in the ICU due to VAP, and mortality rates before and after implementation of intervention were identified and evaluated according to criteria decided by the authors. For studies that did not report an incidence rate, but did include number of patients in the study and number of patients that developed VAP, incidence rates were calculated.

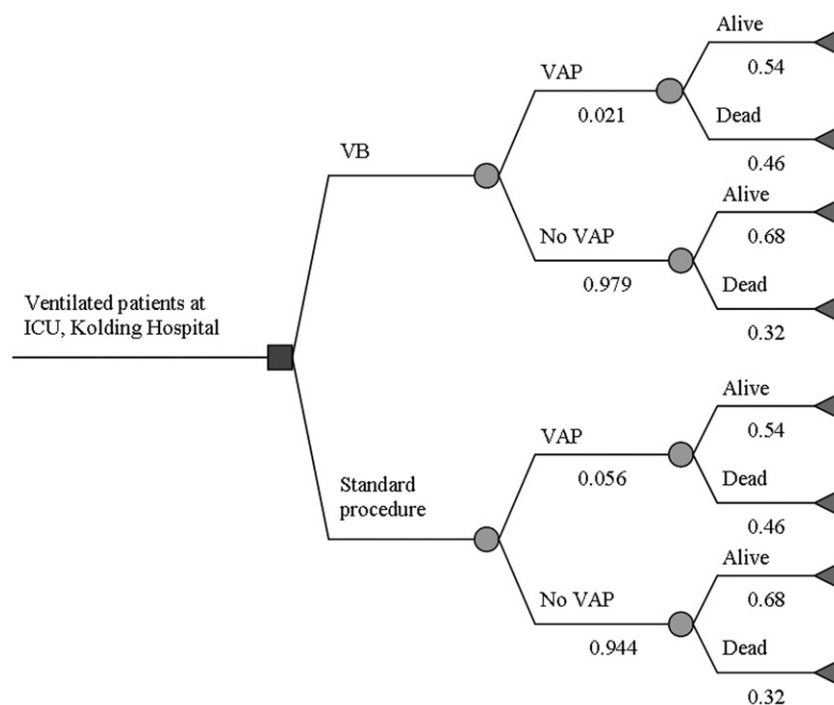


Figure 1. Illustration of the decision model for the Ventilator Bundle (VB). Starting from the left, the blue decision node represents the choice of whether to implement the VB in the ICU, Kolding Hospital. The green chance nodes represent the probabilities of developing VAP both for VB and Standard procedure and probability of death. The red terminal end-points illustrate the end of each possible path in the decision tree and are associated with individual costs and effects.

In order for the studies to be included, they had to fulfill the following criteria:

- The study must be performed at an ICU;
- Data included in the study must be of recent date (2001 or more recent);
- The study must report incidence rate (%) before and after implementation;
- The study must include >100 subjects;
- The study population must be >18 year of age;
- The study must include one or more elements from the VB;
- VAP must be diagnosed after 48 h;
- Only full-text studies are included; and
- The article must be published in English.

The studies included in Table 1 all fulfilled the inclusion criteria, and, on the basis of expert opinions from physicians at Kolding Hospital, only Lansford *et al.*¹⁹ and Mori *et al.*¹⁴ were considered for the evaluation of incidence rate. Only one study found significant reduction in the mortality between patients developing VAP⁴. Only one study revealed a significant difference for increased LOS for a patient with VAP²⁰.

Data input

When performing the cost-effectiveness analysis, the following data were required for input: The time spent on

implementation of the different elements in VB were measured by physicians and nurses at ICU on Kolding Hospital during their daily routines, listed in minutes (Table 2). These data were later validated by Hillerød Hospital, which also participates in the Danish Safer Hospital Program. Only costs relevant for the hospital were included in the study, thus no outpatient costs were included. Labor cost per effective hour for nurses specialized in intensive care and chief physicians was calculated from wage statistics for 2010 and covers gross salary including pension and pay supplements. Average cost of stay per day includes ventilator equipment, medication, staff, materials and activity cost, service and maintenance of department, and property management. Cost of treatment for one episode of VAP includes diagnostics and antibiotic treatment. The cost of death for a moderately complicated patient is included as the incremental cost of mortality for inpatients. All the above-mentioned costs were retrieved in Danish Kroner (DKK) and converted into Euros (€) (Table 3). The average prolongation of hospitalization due to VAP was included and referred to as the increased length of stay (LOS) with VAP. Antibiotics cost was included in cost of VAP (Table 4), and used in one-way sensitivity analysis. The effect measures chosen for this analysis were number of cases of VAP prevented and number of deaths prevented. The effects were immediate for this study's perspective and therefore not discounted,

Table 1. Presentation of the systematic literature search.

Reference	Study design	Intervention element(s)	# of subjects	Outcome, control vs intervention
Rose <i>et al.</i> ²⁵	Multicenter observational study	Elevation	371	VAP incidence rate: 3%
Tantipong <i>et al.</i> ¹⁵	Meta-analysis and RCT	Oral decontamination	207	VAP incidence rate: 19% vs 9%
Lansford <i>et al.</i> ¹⁹	Prospective interventional study	Oral decontamination, elevation to 30, weaning	350	LOS: 21 vs 6 days (NS); Mortality: 20 vs 8 (NS)
Quenot <i>et al.</i> ²⁶	Two phase prospective controlled study	Weaning	423	Mortality: 39% vs 31% (NS)
Mori <i>et al.</i> ¹⁴	Non-randomized trial with historical control	Oral decontamination	1666	VAP incidence rate: 6% vs 2%
Koeman <i>et al.</i> ¹²	Double blinded RCT	Oral decontamination	385	LOS: 7 vs 9 days (NS)
van Nieuwenhoven <i>et al.</i> ²⁷	RCT	OD	181	VAP incidence rate: 29% vs 13%
Bergmans <i>et al.</i> ²⁸	Prospective, double-blinded RCT	OD	226	VAP incidence rate: 27% vs 10%
Ibrahim <i>et al.</i> ⁴	Prospective cohort study	None	880	Mortality: 32% vs 46%
Rello <i>et al.</i> ²⁹	Retrospective matched cohort study	None	9080	LOS: 5 vs 14 days

OD, oral decontamination; VAP, ventilator-associated pneumonia; LOS, length of stay; RTC, randomized controlled trial; NS, not significant.
Note Rose *et al.*²⁵ is a non-interventional study, which does not report the effect of any elements in incidence.

Table 2. Time and cost (€) estimated for each element in the VB. Time illustrates the amount of minutes per days the health personnel uses to conduct the element for each patient, where cost for these have been calculated as time*hourly set salary for the health personnel. Cost for DVT prophylaxis and oral decontamination have been calculated by Pharmacy, Kolding Hospital. All data were price level 2010.

Elements in the ventilator bundle	Minutes per day	Cost per day (€)	Source
Sedation administration	30.00	19.06	ICU, Kolding Hospital
Sedation wake-up	5.00	3.18	ICU, Kolding Hospital
Extubation	10.00	6.35	ICU, Kolding Hospital
Elevation of head	25.00	15.89	ICU, Kolding Hospital
Administration of oral decontamination	10.00	6.35	ICU, Kolding Hospital
Cost of oral decontamination	–	0.67	Pharmacy, Kolding Hospital
DVT administration	5.00	3.18	ICU, Kolding Hospital
Cost of DVT	–	1.23	Pharmacy, Kolding Hospital
Time spent by physician	6.00	6.63	ICU, Kolding Hospital
Education	5.00	3.81	ICU, Kolding Hospital
Total	96.00	66.35	

whereas capital costs were discounted (e.g. the mechanical ventilator, monitor, and moisturizers were discounted over 8 years at 3%). To avoid double counting when considering staff salary, implementation of VB and the time nurses spent on VB were calculated and subtracted from the cost of a bed day. Based on previous studies, the implementation of the elements in the VB is not associated with adverse events, thus will not be included. All costs were critically evaluated and conservatively approximated to limit the risk of over-estimating the ICER for VAP or death prevented. All unit-costs were 2010-prices.

Sensitivity analysis

The sensitivity analyses included a one-way analysis to identify the variables of the model, which cause important changes of the ICER as well as a probabilistic sensitivity analysis (PSA) of the total parameter uncertainty^{20,21}.

We have chosen to perform a one-way sensitivity analysis, including best- and worst case for each variable. Expert opinions from physicians at Kolding Hospital

Table 3. Evidence-based model inputs and estimates by Kolding Hospital, along with distributions for each variable. Cost of VB includes capital costs, and these were discounted at 3% for 8 years.

Model inputs	Base case	Distributions	Reference
Cost of VB (€)	66	γ (α 16.00; 0.24)	ICU, Kolding Hospital
Incidence rate of VAP before implementation (%)	5.6	β (α 15.10; β 254.61)	Mori <i>et al.</i> ¹⁴ and Lansford <i>et al.</i> ¹⁹
Incidence rate of VAP after implementation (%)	2.1	β (α 15.66; β 730.24)	Mori <i>et al.</i> ¹⁴ and Lansford <i>et al.</i> ¹⁹
Average LOS without VAP (days)	7.9	γ (α 1.69; 0.21)	ICU, Kolding Hospital
Increased LOS with VAP (days)	6.1	N (μ 6.1; σ 1.125)	Rello <i>et al.</i> ²⁹
Cost of stay in ICU for a mechanically ventilated patient per day (€)	2298	γ (α 16.00; 0.01)	Planning and Financial Department, Kolding Hospital
Cost of treatment for one episode of VAP (€)	1072	γ (α 16.00; 0.01)	ICU, Kolding Hospital
Mortality rate of patients with VAP (%)	46	γ (α 16.00; 34.78)	Ibrahim <i>et al.</i> ⁴
Mortality rate of patients without VAP (%)	32	γ (α 16.00; 50.00)	Ibrahim <i>et al.</i> ⁴
Cost of death for a moderately complicated patients (€)	1985	γ (α 16.00; 0.01)	Danish DRG case mix system 2011

Table 4. The expected costs and effects are displayed, which have been calculated from a cohort of 140 patients for each treatment.

Cohort (140 patients)	New treatment (CI: worst–best case)	Old treatment (CI: worst–best case)
Cost without VAP (€)	1,749,650 (2,529,476–1,247,801)	1,639,752 (2,391,698–1,155,844)
Cost with VAP (€)	54,403 (77,892–39,287)	141,130 (203,768–100,820)
Cost of death (€)	89,736 (299,121–65,805)	91,097 (303,660–66,803)
Total cost (€)	1,893,789 (2,697,104–1,376,823)	1,871,979 (2,686,563–1,347,762)
Cases of VAP	3 (4–2)	8 (10–6)
Dead patients	45 (56–34)	46 (56–35)

have been used to identify worst- and best case for cost of treatment and cost of stay in an ICU bed per day. Furthermore, the cost of death in the ICU for worst- and best case was changed according to the Danish DRG case mix system. All other inputs in the model were changed $\pm 25\%$ in best- and worst case.

We also performed a 2nd order Monte Carlo simulation with 1000 expected values for VB and standard procedure. For the PSA calculations, relevant distributions for the input were used. The distributions for costs are right-tailed; therefore, γ -distributions were applied, calculated by mean and standard deviations derived from literature or local data. For incidence rates, β -distributions were applied, as these can only assume the value from 0 to 1. Increased LOS due to VAP was normally distributed with mean and standard deviations from Kolding Hospital. Data from Kolding Hospital on LOS without VAP were analyzed with SPSS Software, illustrating a distribution with a right tail, implying a γ -distribution, calculated from mean and standard deviations of the data set from Kolding Hospital. All other standard deviations were calculated from $\pm 25\%$ of means from the input. The results of the 2nd order Monte Carlo simulation were interpreted by use of the cost-effectiveness acceptability curve (CEAC).

Furthermore, we calculated the expected costs and health outcomes from a cohort simulation of 140 patients corresponding to the annual number of patients at the intensive care unit at Kolding Hospital.

Results

The result of the cohort simulation is shown in Table 4, from which it is seen that VB has a potentially positive effect on the incidence of VAP compared to standard procedure, in the ICU, Kolding Hospital, with a catchment population of 140 patients per year. The cost of implementing VB is \sim €21,810 more per year than standard procedure. As five cases of VAP are being prevented, the ICER (base-case) was €4451 per case of VAP prevented. Furthermore, the ICER was DKK €31,792 per death prevented.

Table 5. One-way sensitivity analysis for each variable, demonstrating the individual influence for each variable on ICER.

Description	€/Prevented VAP	€/Prevented death
Base-case	4451	31,792
<i>Bundle cost</i>		
High (+25%)	7032	50,226
Low (–25%)	1870	13,359
<i>VAP antibiotics</i>		
Only Meronem	4352	31,083
Only cefuroximin	4849	34,632
<i>Prolonged stay due to VAP</i>		
High (+25%)	2591	18,510
Low (–25%)	6311	45,075
<i>Incidence rate new treatment</i>		
High (2875%)	6298	44,987
Low (1725%)	3086	22,040
<i>Bed day cost</i>		
High (3352)	2151	15,365
Low (1620)	5931	42,364
<i>Mortality with VAP</i>		
High (+25%)	7992	31,341
Low (–25%)	910	36,395
<i>Mortality without VAP</i>		
High (+25%)	1971	32,852
Low (–25%)	6931	31,504
<i>Cost of death</i>		
High (6616)	3803	27,161
Low (1455)	4525	32,322
<i>Incidence rate old treatment</i>		
High (+25%)	1502	10,726
Low (–25%)	11,333	80,949
<i>Treatment length</i>		
High (+25%)	7637	54,553
Low (–25%)	1264	9032

A star (*) marks that both new and old treatments were influenced.

The one-way sensitivity analysis (Table 5) revealed ICER intervals ranging from €910–11,333 per case of VAP prevented and €9032–80,949 per death prevented.

The results of the two PSA are illustrated in the incremental cost-effectiveness (ICE) scatter plot. The first illustrates the ICE scatter plot for 1000 hypothetical expected values (EVs) from the analysis of VAP prevented (Figure 2), in which 42.6% have lower cost and better

outcome (Quadrant SE) for VB compared to standard procedure. Higher cost and better effect are given in 57.3% (Quadrant NE). Lower effect and higher cost are given at 0.1% (Quadrant NW).

When the ICE scatter plot for 1000 hypothetical EVs from the PSA is plotted for deaths prevented (Figure 3), 31.6% had lower cost and a better effect in the VB compared to standard procedure (Quadrant SE). A better effect at a higher cost was found in 54.3% (NE). Both lower cost and lower effect will result in 9% according to the PSA (Quadrant SW), and lastly 5.1% were both more expensive and had a lower effect (Quadrant NW). The outcome from the CEAC for patients in VB illustrates the cost-effectiveness of a hypothetical cohort of 1000 patients for implementation of VB for both VAP and death prevented, e.g., showing a cost-effectiveness of >80% per VAP prevented and >50% per death prevented, at an acceptability threshold of €20,000 (Figures 4 and 5).

From the one-way sensitivity analysis it was observed that the variability related to the incidence rate for old treatment and treatment length were the two parameters having the largest influence on the model, which also contributes to collected variability influencing ICER, as seen

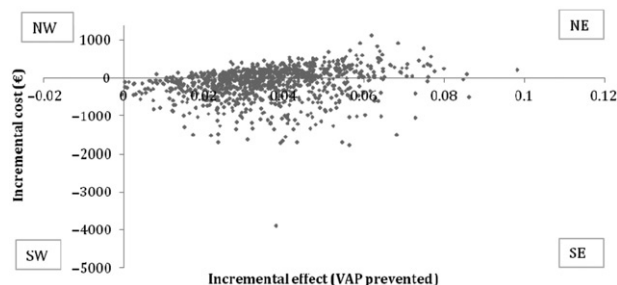


Figure 2. Incremental cost-effectiveness scatter plot for prevention of one episode of VAP.

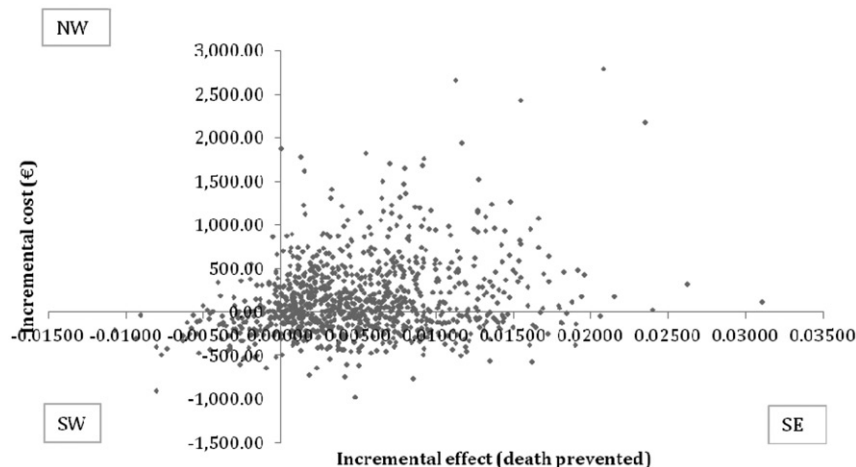


Figure 3. Incremental cost-effectiveness scatter plot per death prevented.

in the PSA for both VAP and death prevented. Both PSAs for VAP and death prevented show collective variability of the ICER, from which it is seen that the VB is predominantly cost-effective and in the majority of cases also cost-saving.

Discussion

In this study we have investigated the potential effects of implementing VB at the ICU, Kolding Hospital compared

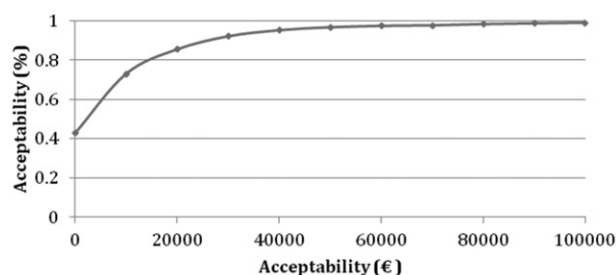


Figure 4. Cost effectiveness acceptability curve for prevention of one case of VAP.

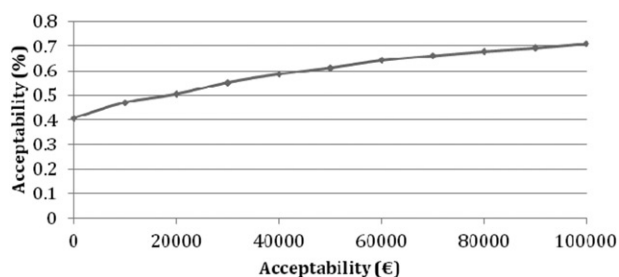


Figure 5. Cost-effectiveness acceptability curve for prevention of one death.

to the standard procedure of treating a patient in mechanical ventilation. This was displayed in a decision model and elaborated further in a cost-effectiveness analysis providing a mean ICER of €4451/VAP prevented or €31,792/death prevented. Additionally the sensitivity analysis was used to strengthen our model and provided an ICER ranging from €910–11,333 per VAP prevented or €9032–80,949 per death prevented. Based on the ICE scatter plot, our model would be effective in 99.9% (NE and SE quadrants in the ICE scatter plot); and in 42.6% of cases it would furthermore be cost-saving (Quadrant SE) in prevention of VAP. The ICE scatter plot for death prevented showed the model would be cost-saving in at least 31.6% (Quadrant SE) and more effective in 85.9% (Quadrant NE + SE), where the cost-saving result (31.6%) represents VB dominating the standard treatment and the more cost-effective result (85.9%) is further dependent on the willingness to pay by the decision-makers for new interventions.

The robustness of the study

The structural composition of the models used in this study is based on the international guidelines¹⁶ to limit bias, which potentially could affect the results. Furthermore, data used as effect measurements were only included from relevant articles of high levels of evidence. Similarly, costs provided by Kolding Hospital were critically evaluated.

The systemic literature search indicates, except for oral decontamination, that only a few studies reported effects of the elements included in VB. Several studies have reported incidence rates much higher than those chosen for this study, but only incidence rates below 10% as base case were considered for our cost-effectiveness analysis, as physicians at Kolding Hospital estimated this to be somewhat similar to incidence in Danish ICUs. In addition, a base case below 10% was also chosen as this is a conservative approximation and thereby limits the risk of over-estimating the ICER for VAP or death prevented. Our cost-effectiveness analysis is based on a calculated average of incidence rates from Mori *et al.*¹⁴ and Lansford *et al.*¹⁹ (5.6 vs 2.1%), but investigations reporting incidence rates based on implementation of VB in a Danish ICU would have strengthened our results. According to Hanberger *et al.*²², Scandinavian incidence rates and antibiotic resistance rates are considerably lower compared to Southern European countries and relatively lower than North America. Thus, it is expected that a Danish ICU will have a lower incidence rate of VAP compared to the one reported in the American study by Lansford *et al.*¹⁹, and therefore introducing the ventilator bundle at a Danish ICU would be less cost-effective.

The actual effect of implementing VB or at least one element is dependent on high compliance, particularly by the nurses. If the nurses do not follow the guidelines from the Danish Society for Patient Safety, or the patient's condition prevents them (if they cannot endure having their head elevated), the compliance decreases, and the risk of developing VAP with VB remains unchanged, thus under-estimating the actual effect of implementing VB. In addition, it could be a problem that the effect measurements used in our models came from studies which did not investigate the effect of implementing all five elements. The actual effect might therefore be higher if the effect of all five elements in VB were investigated, and the one used in our models may, therefore, be an under-estimate. Lastly, one should acknowledge the time spent by nurses and physicians, listed in Table 2, are based on a Danish ICU as a case study, and these may be different in other countries.

The ICE scatter plot of prevented death, showed 85.9% of the cases, had a higher effect for VB compared to standard procedure. There is an ongoing discussion whether mortality can be used as an outcome when considering implementing VB^{23,24}. As studies report on different populations, different ways to determine if cause of death was directly caused by VAP, different patient groups, different pathologic conditions and treatments in the case of VAP vary between ICUs, it is difficult to match studies. One should acknowledge that ventilated patients often suffer from serious conditions, are heavily medicated, and are, even without VAP, at great risk of dying²⁴. Several studies have demonstrated increased risk of mortality in the case of VAP²³, whereas others have found no significant difference in mortality, despite patients suffering from VAP^{3,23}. Therefore, it can be argued whether the reduction from 46% to 32% in risk of mortality, if VAP is prevented⁴ in this study, is representative for a Danish ICU. No conclusions should solely be based on our potential ICER of €31,792/death prevented if VB was implemented.

In addition, the total cost of an average bed day is not specific to patient groups, and the exact cost of one bed day for a ventilated patient might be higher, as this patient group often suffers from serious conditions and are heavily medicated. If the average bed day cost actually is higher for a patient receiving mechanical ventilation, the ICER would favor the implementation of VB, as seen in the one-way sensitivity analysis.

Only prevention of VAP or death were considered as relevant outcomes for this study; however, for future studies, one might consider including additional outcomes, e.g., peripheral thrombosis and other complications as a consequence of mechanical ventilation or VAP, relevant for the patient's safety and cost of treatment.

Conclusion

In conclusion we found that implementation of VB is potentially cost-effective, with an ICER of €4451/VAP prevented or €31,792/death prevented, based on a Danish ICU as case study.

Transparency

Declaration of funding

This study had no funding or financial relationships with an external sponsor.

Declaration of financial/other interests

The authors of this manuscript have disclosed that they have no relevant financial relationships.

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